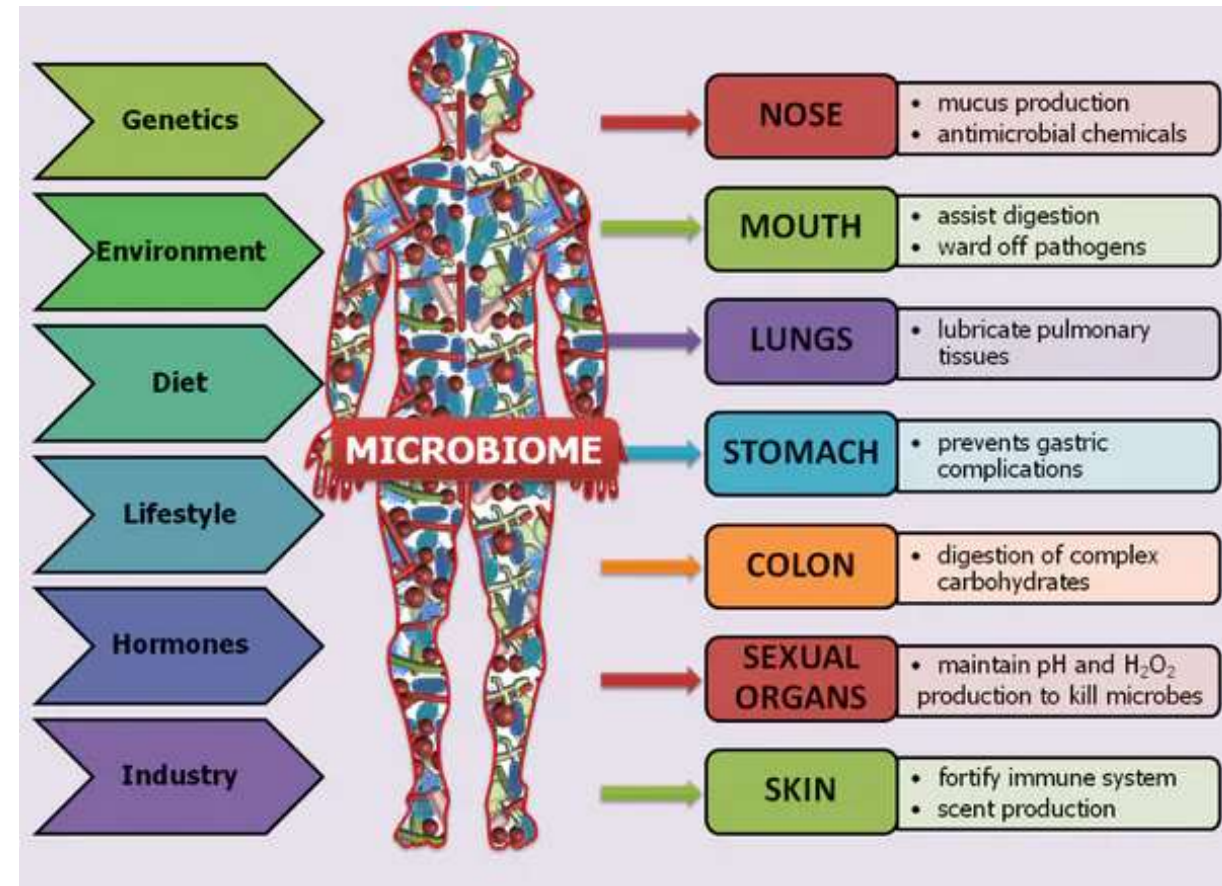
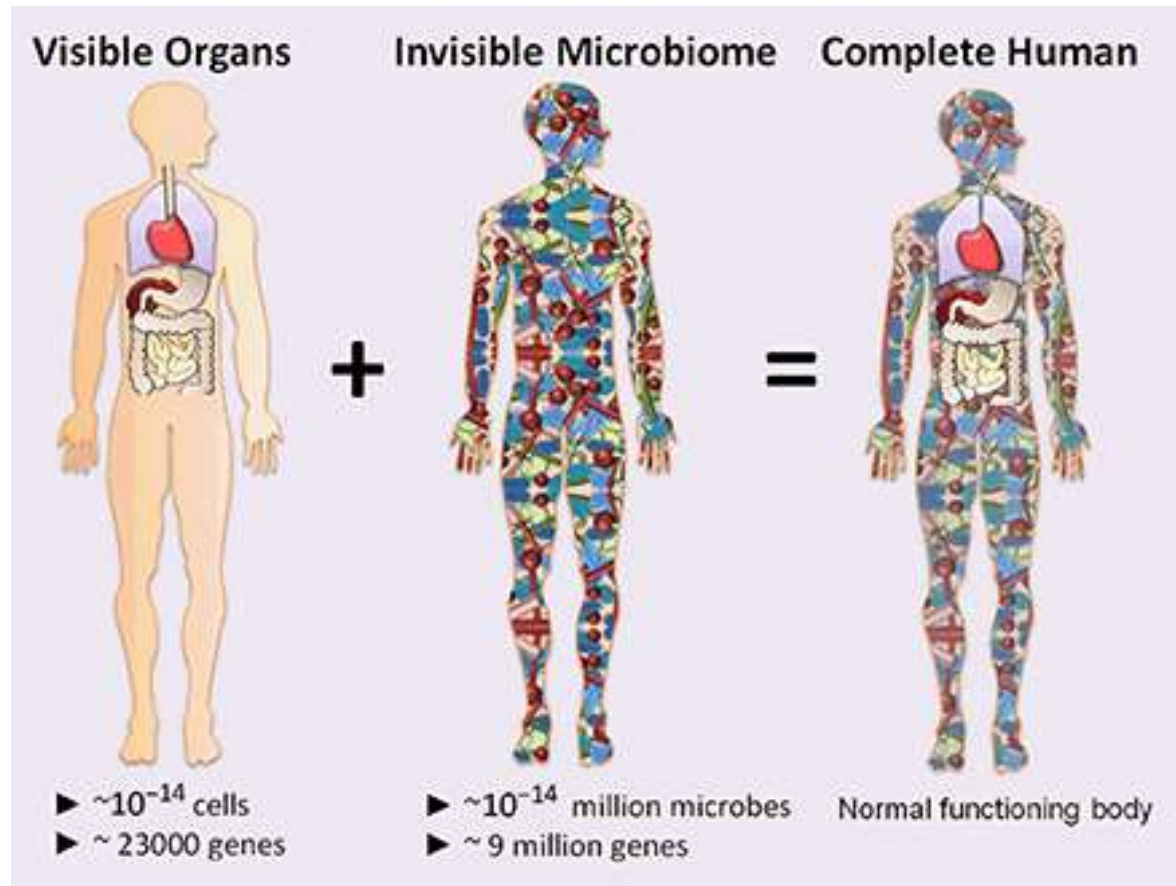


# Microbiome in HIV: chronic inflammation, immune activation and transmission

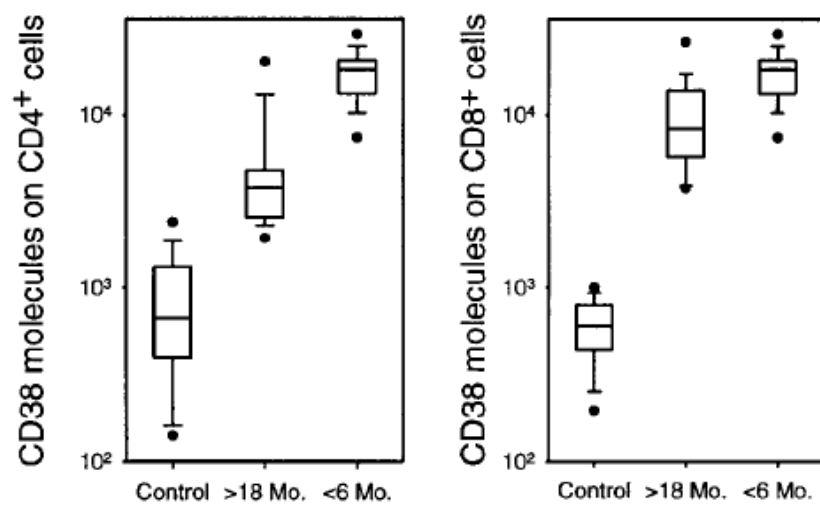
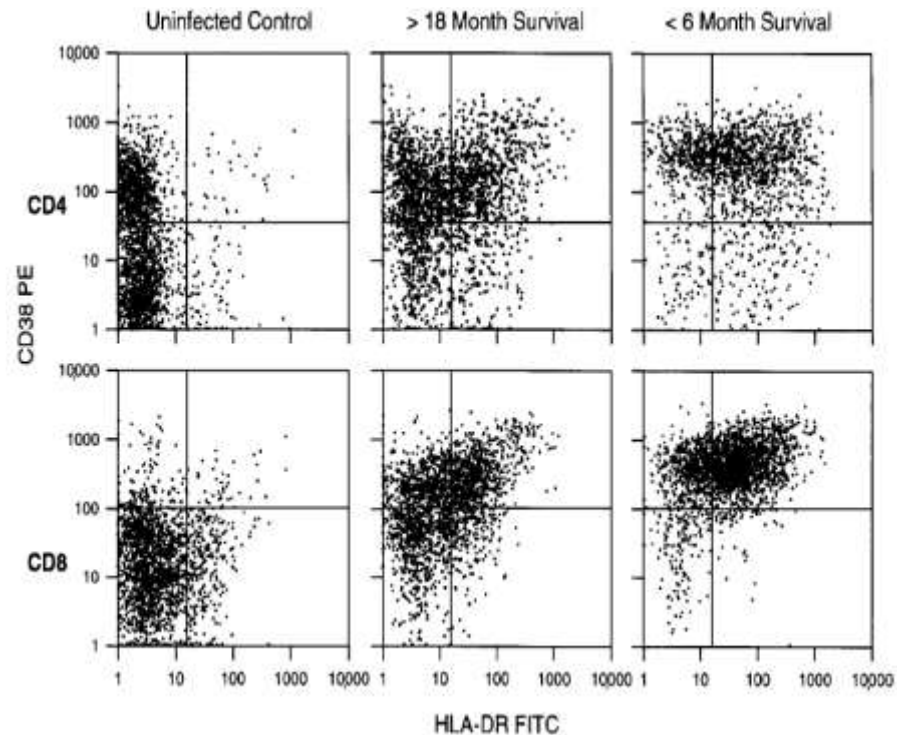
---

Giulia Marchetti, MD, PhD

*Clinic of Infectious Diseases, Dep of Health Sciences – University of Milan - ASST Santi Paolo e Carlo, Milan, Italy*



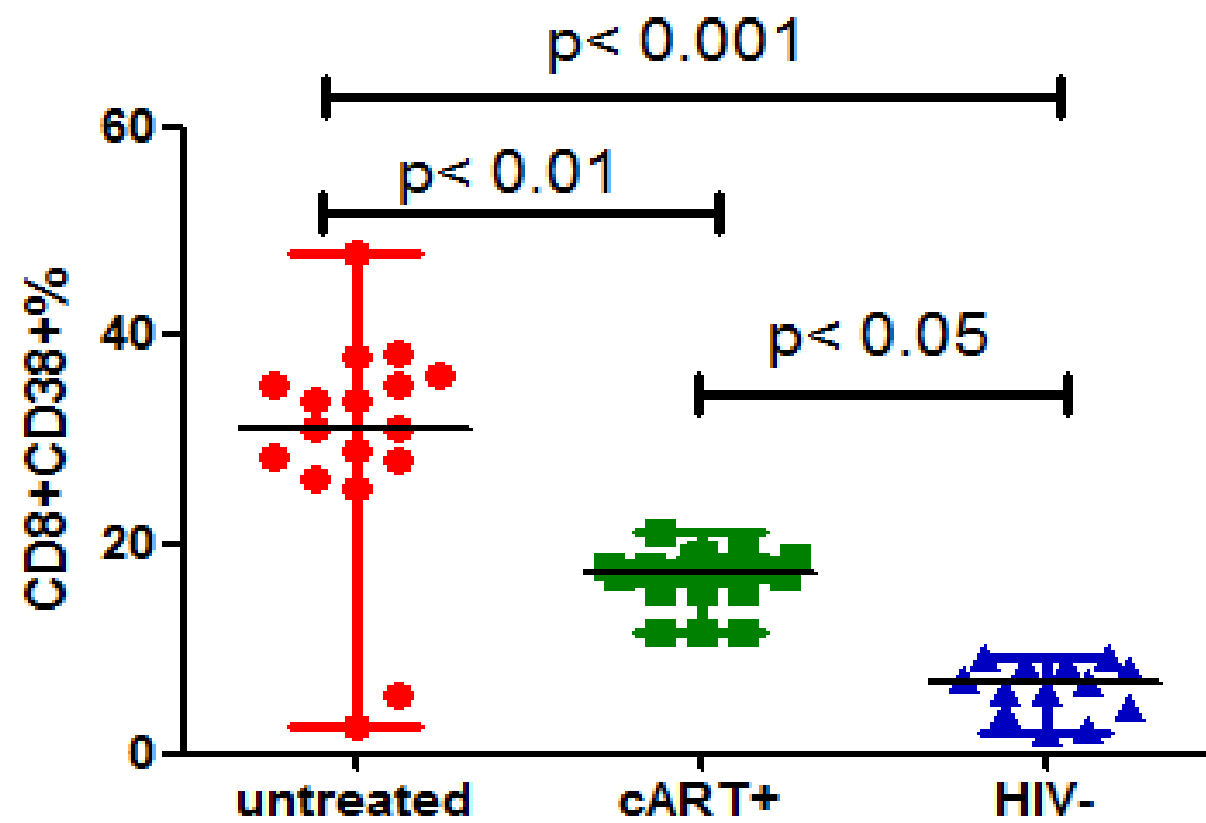
# **HIV as a pro-inflammatory disease**



Giorgi, J et al. JID 1999

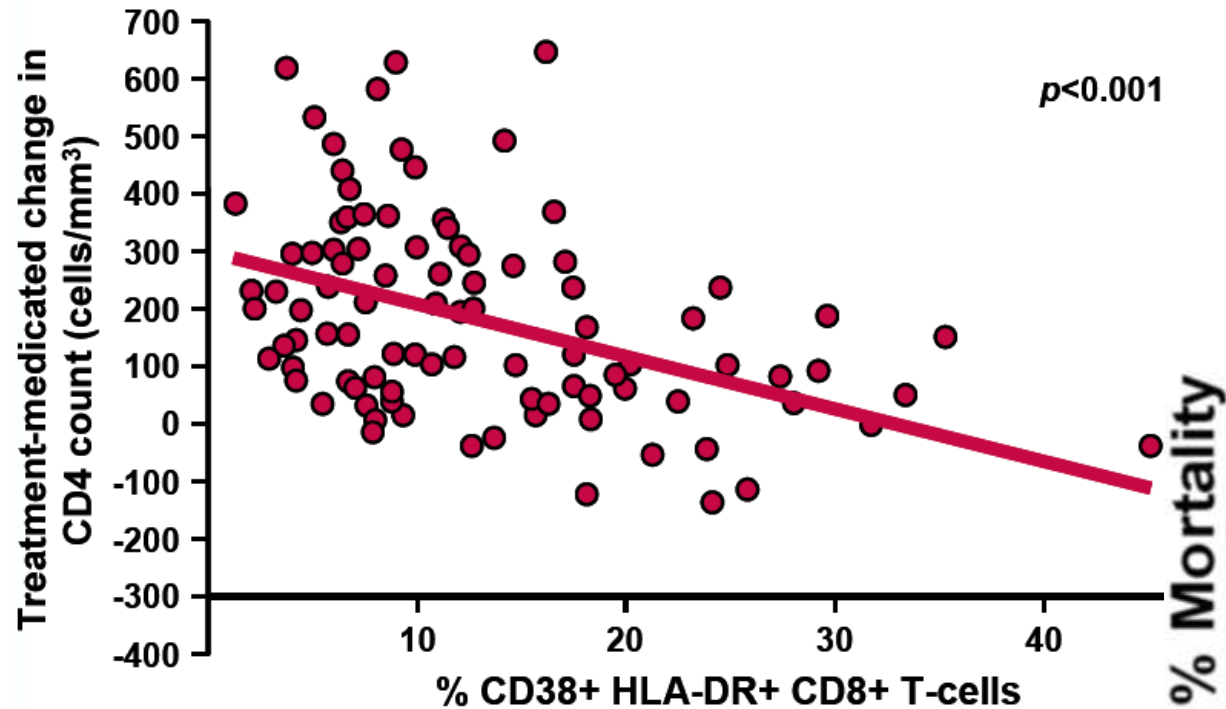
Parameter	Estimate	Standard error	P
<b>Univariate model</b>			
Plasma HIV RNA level, log <sub>10</sub>	−0.032	0.007	< .001
CD8 <sup>+</sup> T-cell activation, log <sub>10</sub>	−0.049	0.014	< .001
CD4 <sup>+</sup> T-cell activation, log <sub>10</sub>	−0.039	0.017	.021
<b>Multivariate model</b>			
Intercept	2.921	0.042	< .001
Plasma HIV RNA level, log <sub>10</sub>	−0.026	0.009	.005
CD8 <sup>+</sup> T-cell activation, log <sub>10</sub>	−0.033	0.015	.027
CD4 <sup>+</sup> T-cell activation, log <sub>10</sub>	−0.013	0.019	.474

T-cell activation predicts CD4+ T-cell count over time



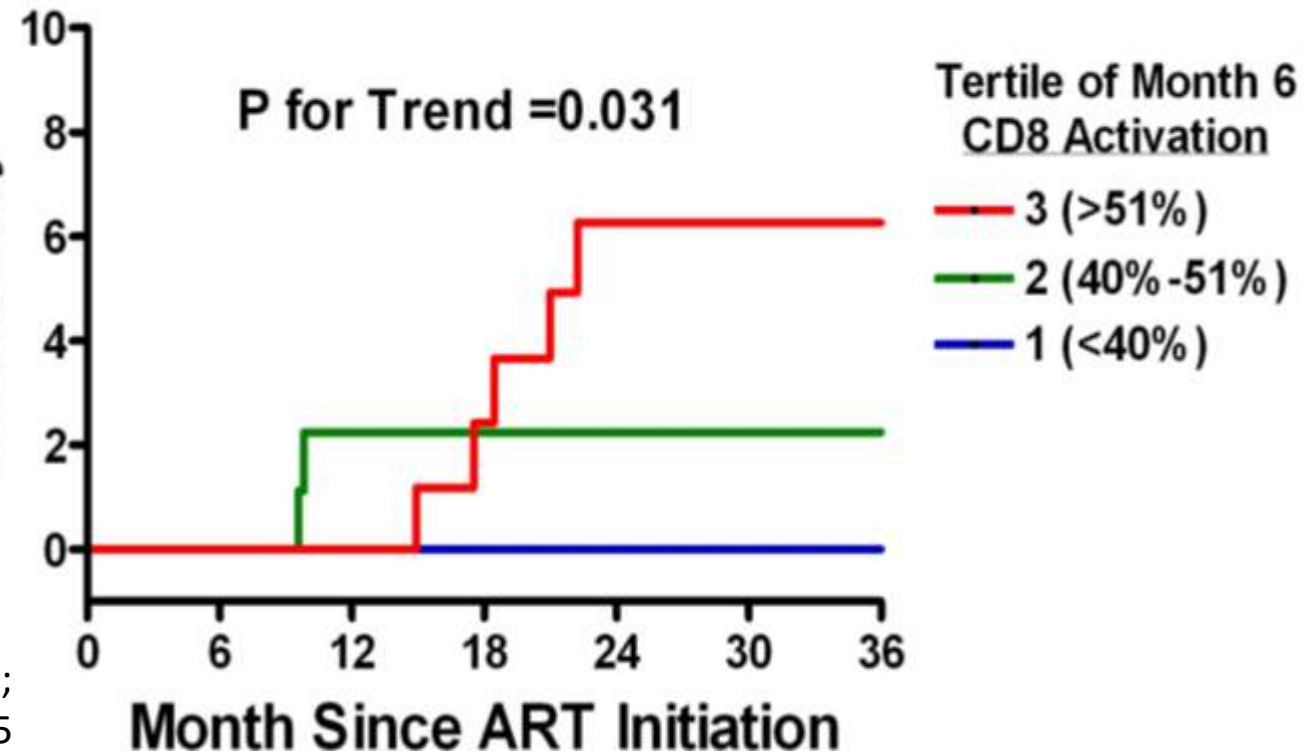
Cannizzo et al. JID 2015

# Immune activation affects immune reconstitution and disease progression on cART



Hunt et al. JID 200

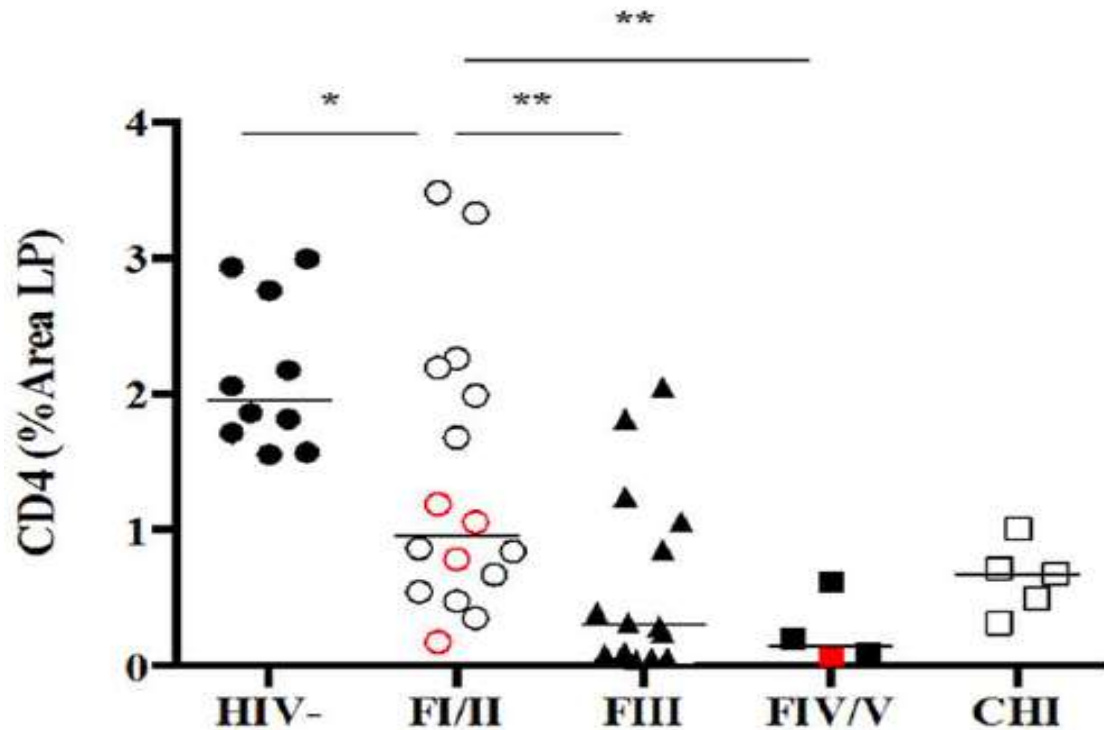
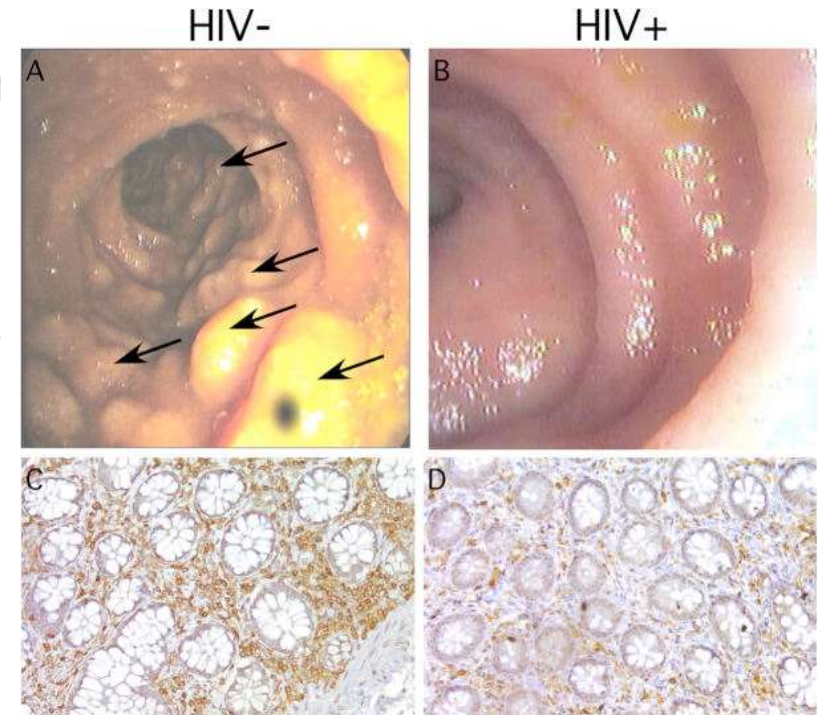
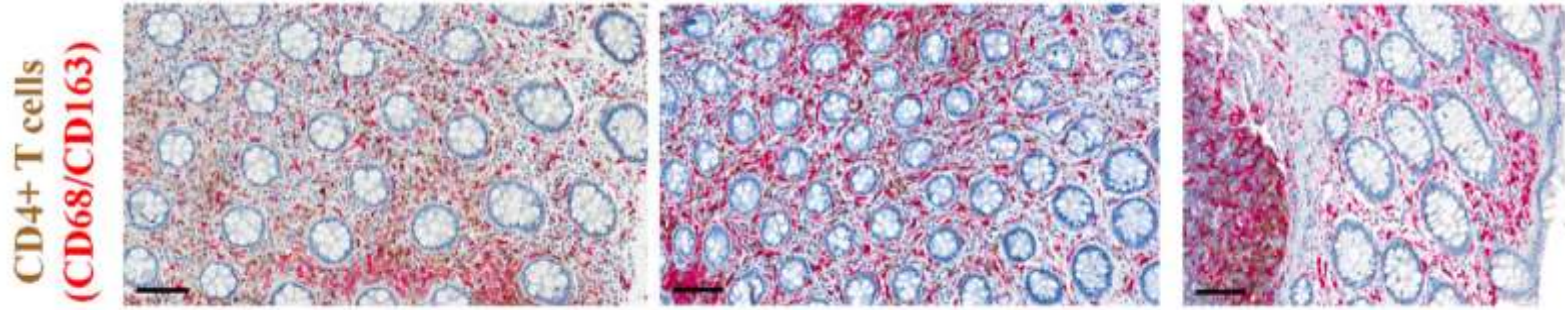
Hunt et al, AIDS 2011 25:2123;  
also: Balagopal JAIDS 2015



# **HIV as a disease of the gut**



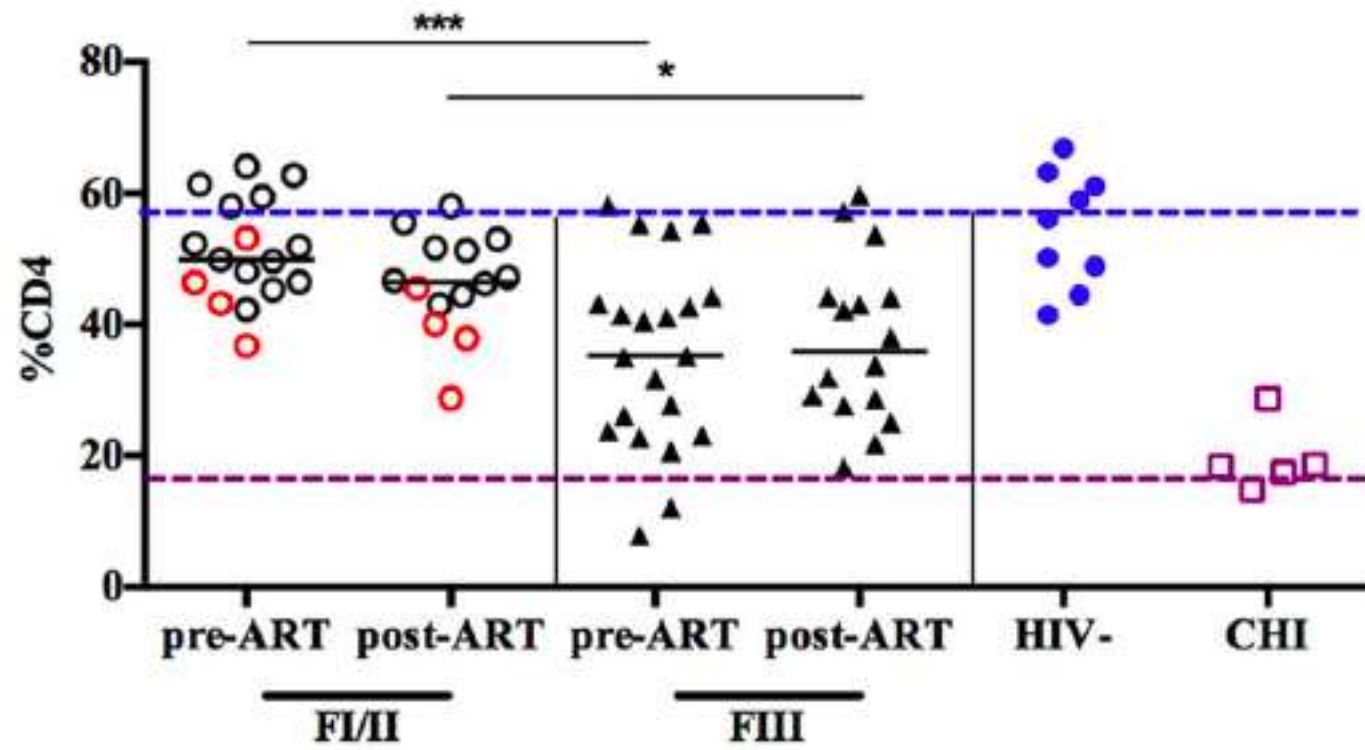
# Earliest depletion of gut-associated CD4+



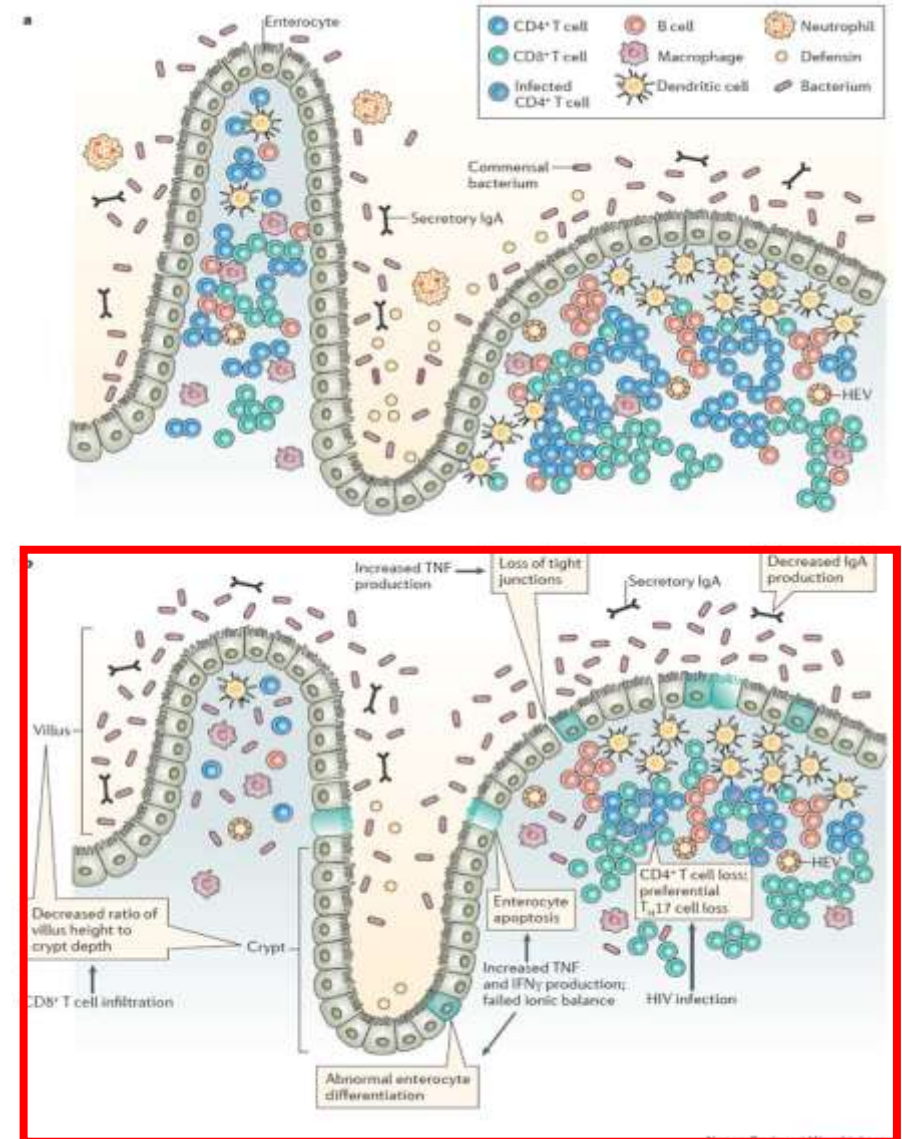
Brenchley et al. Nat Med 2006

Shuetz et al. Plos Path 2014





Shuetz et al. Plos Path 2014



Sandler & Douek, Nat Reviews 2012

# HIV, the gut & inflammation: (old) partners in crime

“my colleagues and I hypothesized that clinical symptoms and intestinal injury are directly related to the presence of HIV in the mucosa and that the intestinal lamina propria could be a site of accelerated infection and destruction of CD4 lymphocytes”

## Conclusion

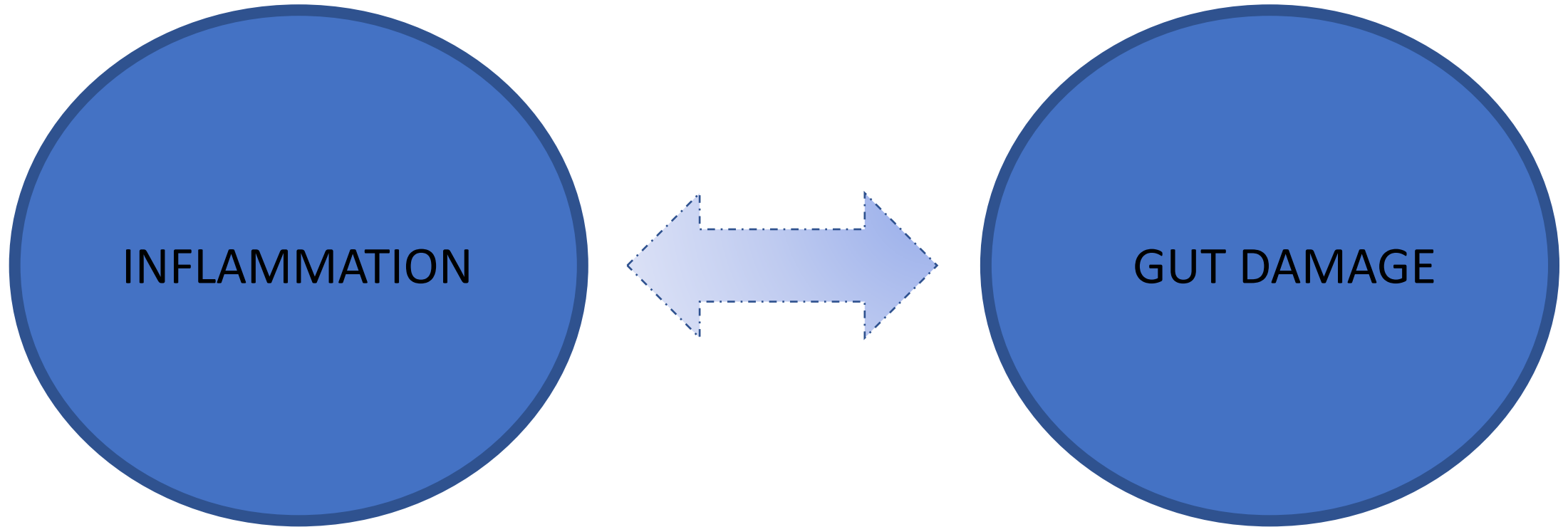
Further studies are required to define the precise mechanism for HIV-associated intestinal injury and its relationship to HIV replication. Evidence from this study and others [14] suggests

Kotler JID 1999;179 (suppl 3)

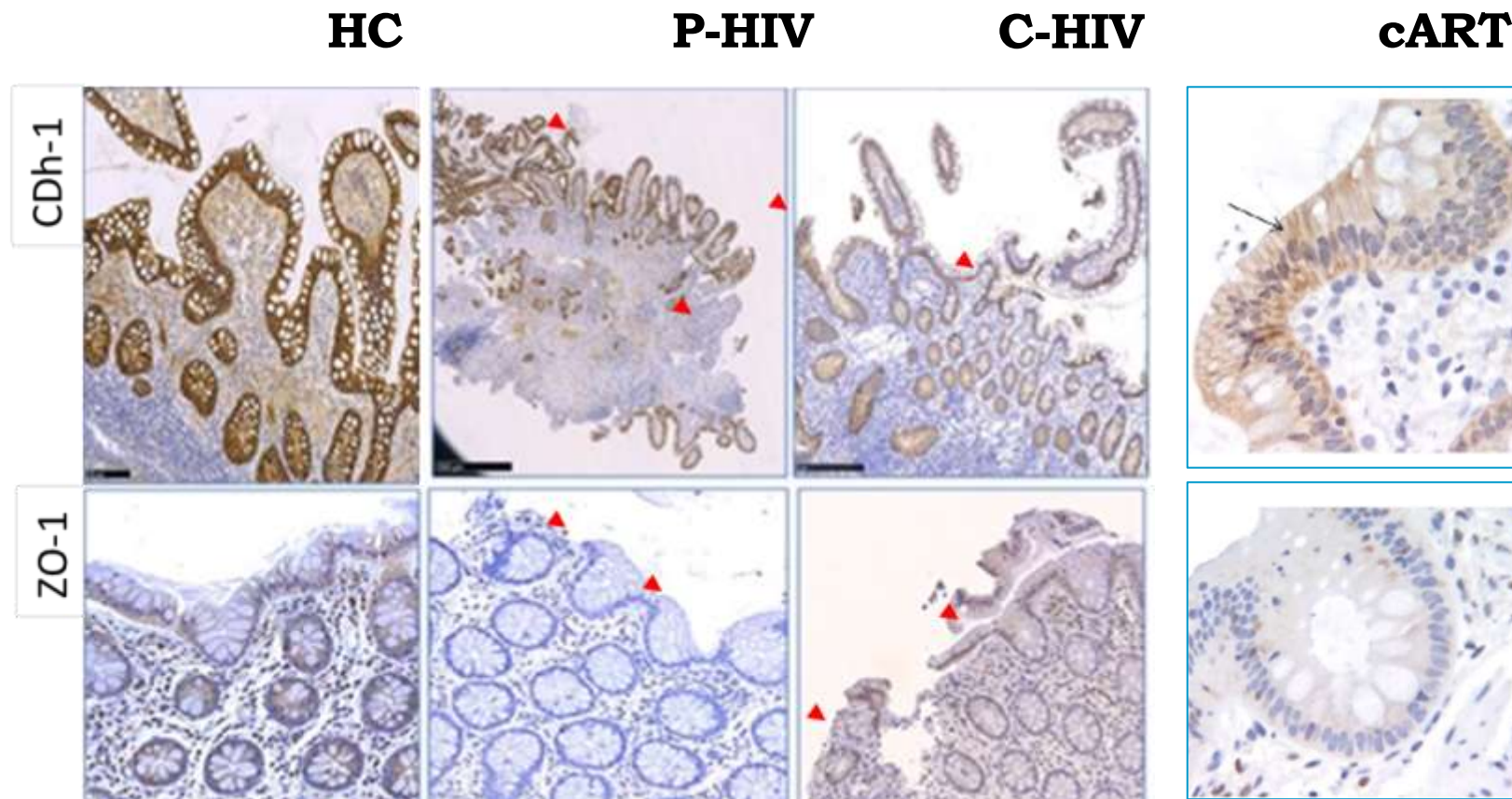
Subpopulation	CD8 <sup>+</sup> T Cell Subset	HIV <sup>-</sup> Controls (n = 10)	Asymptomatic HIV <sup>+</sup> (n = 8)	AIDS (n = 15)
1	DR <sup>-</sup> CD38 <sup>-</sup>	434 ± 155	277 ± 130 (0.04)	175 ± 205 (0.003, NS)
2	DR <sup>+</sup> CD38 <sup>-</sup>	34 ± 25	223 ± 115 (0.002)	93 ± 83 (0.02, 0.005)
3	DR <sup>+</sup> CD38 <sup>+</sup>	7 ± 3	144 ± 132 (0.02)	253 ± 178 (0.0001, NS)
4	DR <sup>-</sup> CD38 <sup>+</sup>	54 ± 26	71 ± 53 (NS)	178 ± 56 (0.0001, 0.0002)

Giorgi et al. J Immunol 1993

# HIV: INFLAMMATION AND GUT DAMAGE

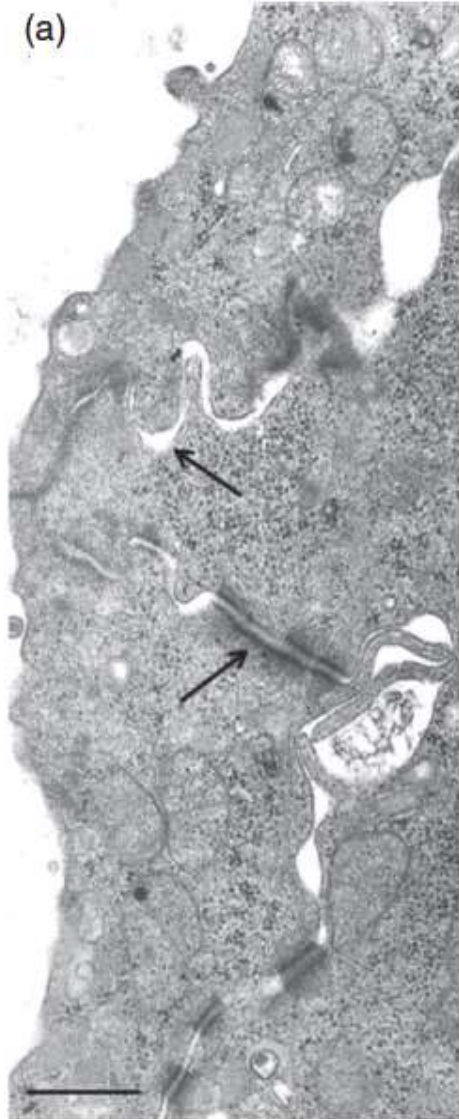


# Early depletion of gut tight junctions that is not reverted by cART

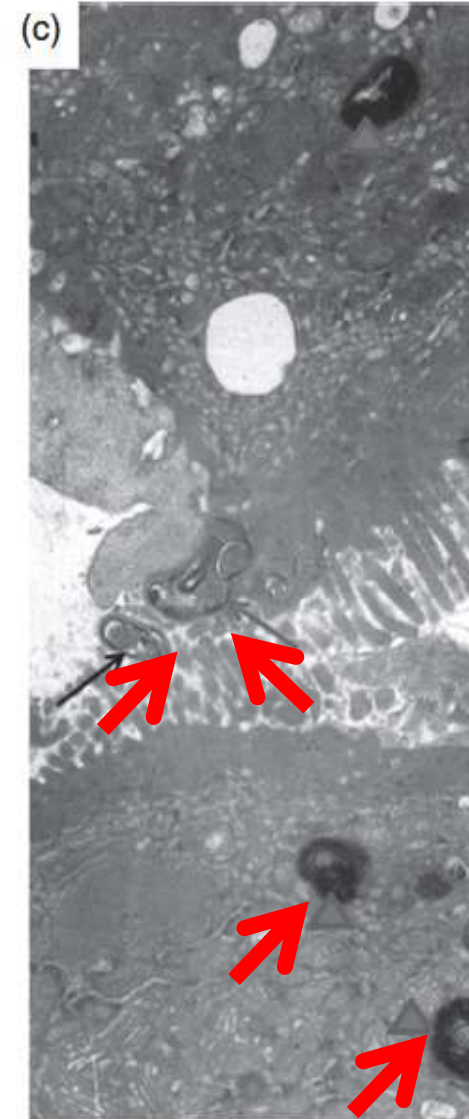
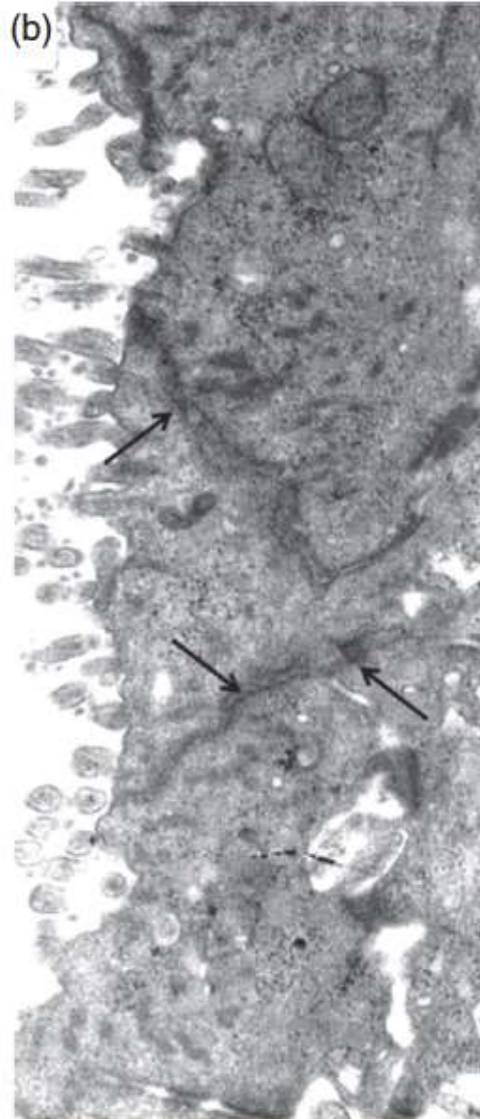


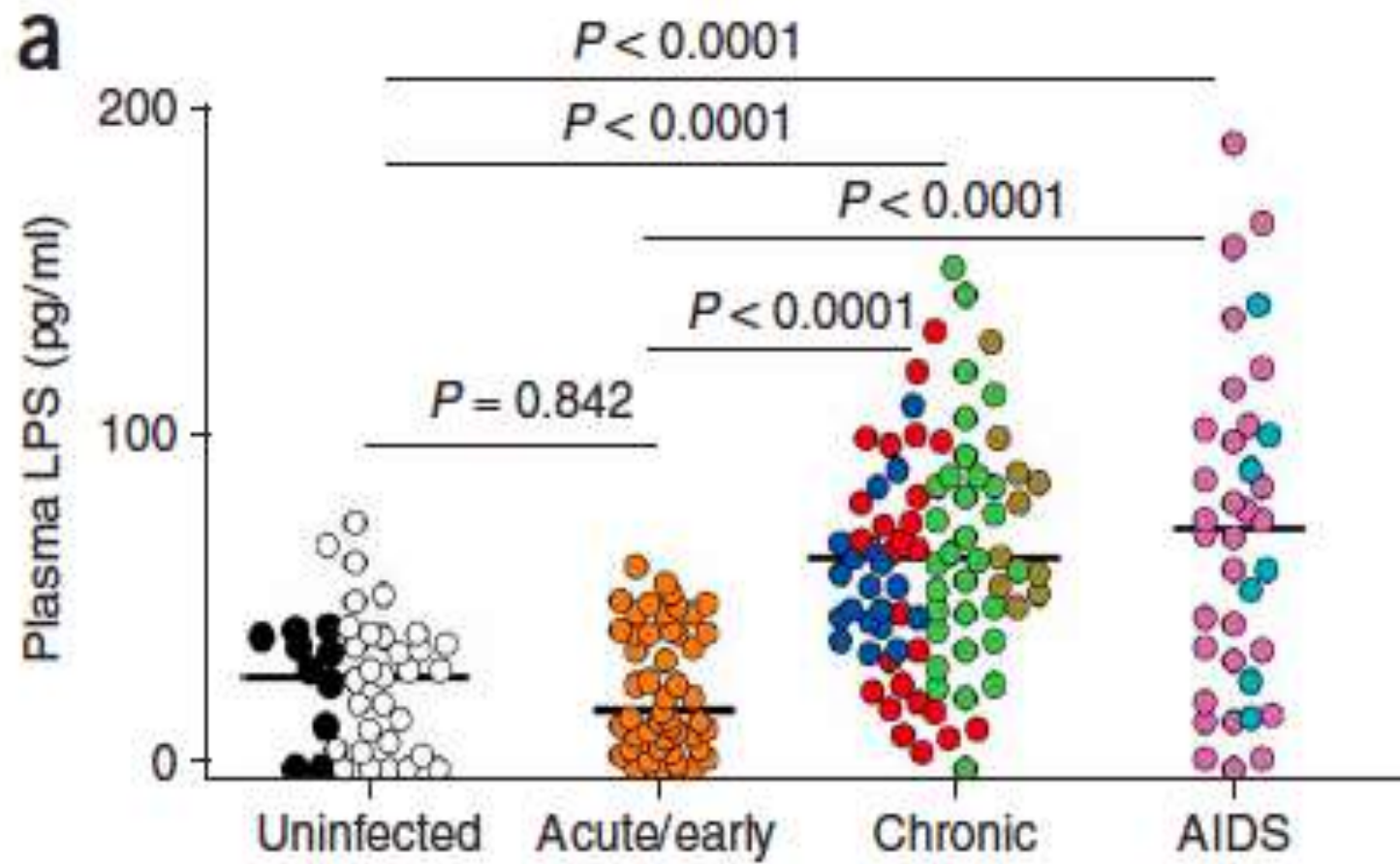


**Chronic HIV on virally-suppressive cART: nadir CD4<200/mm3**



**Healthy HIV uninfected**



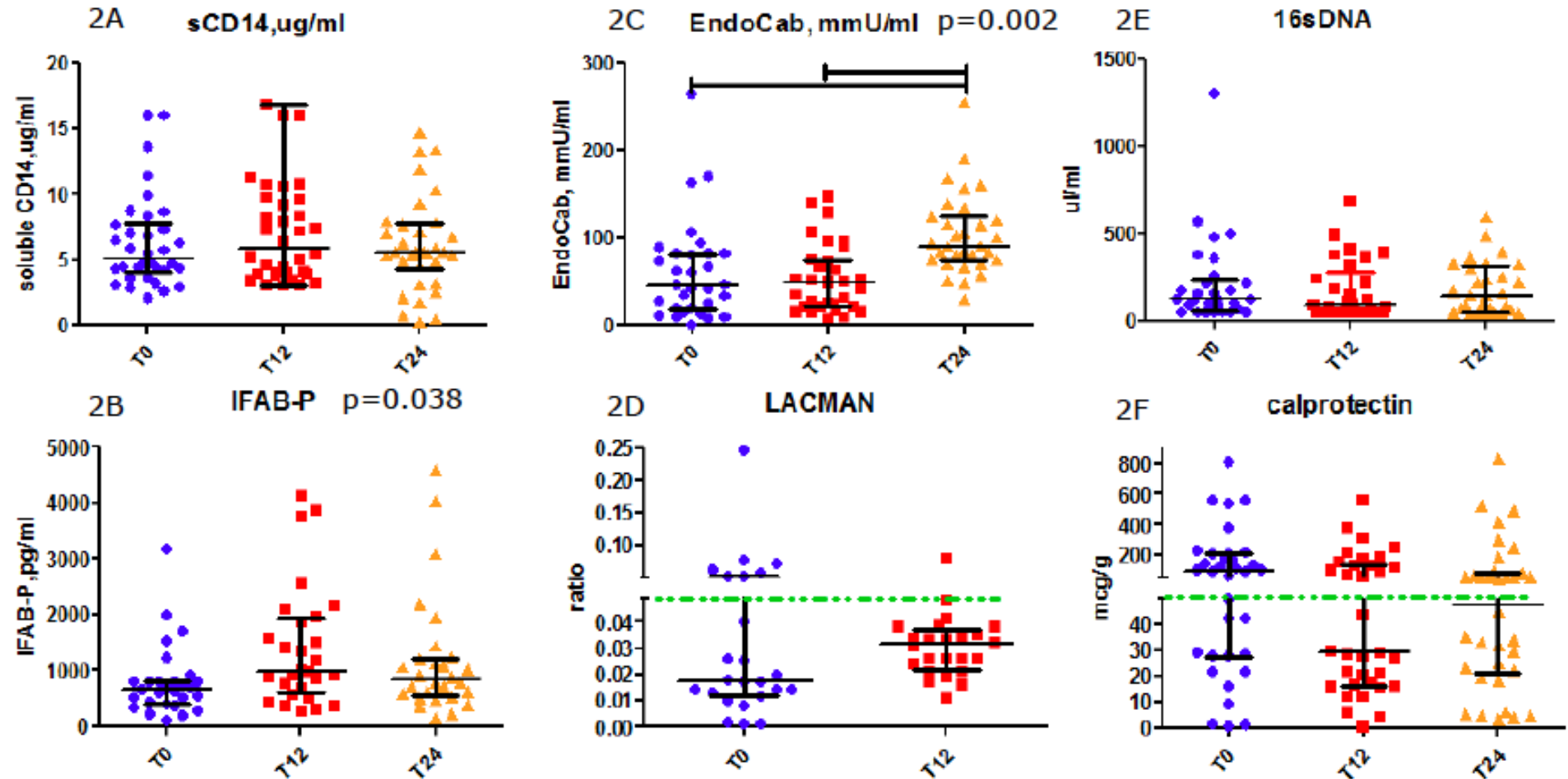


Brenchley et al. Nat Med 2006



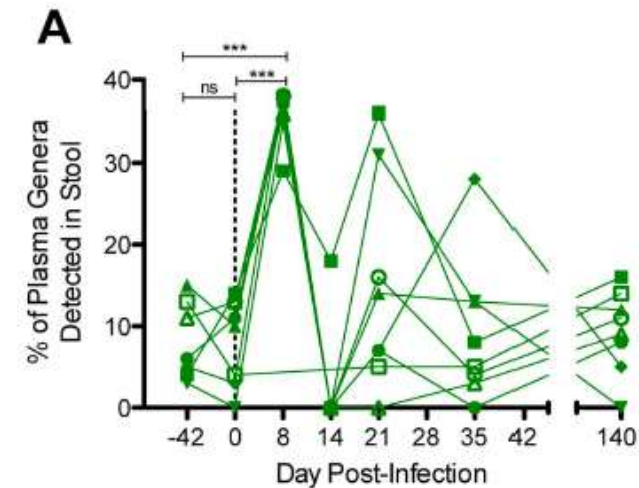
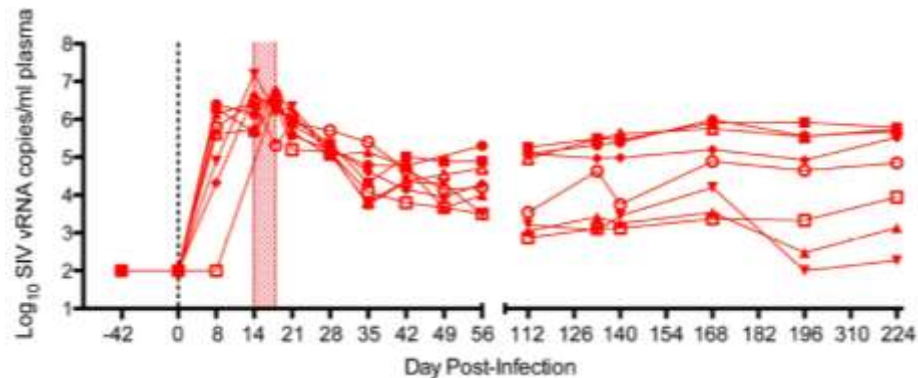
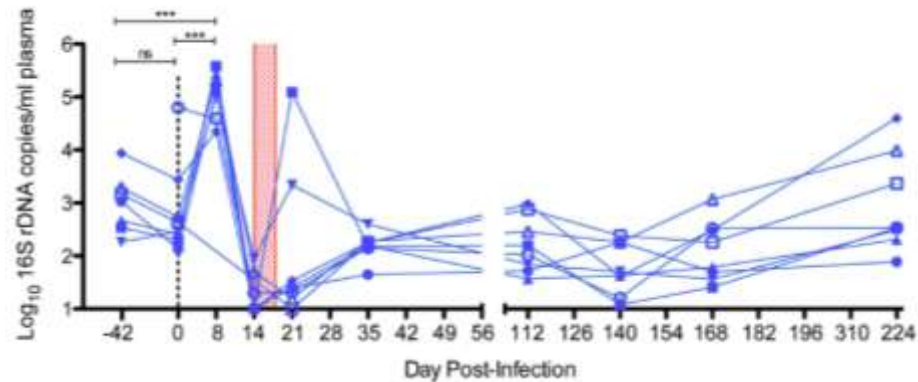
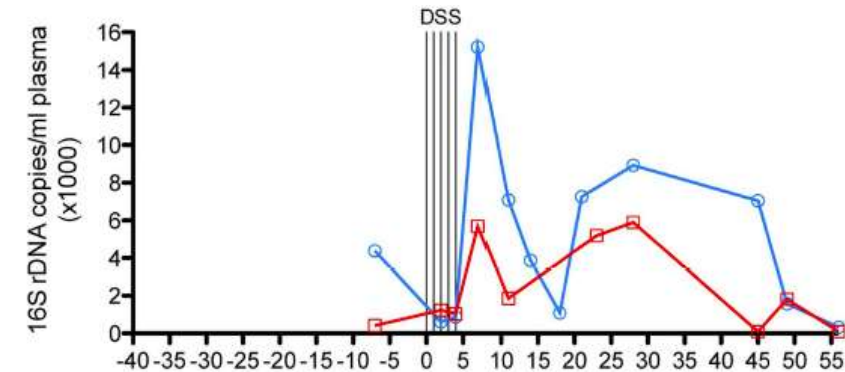
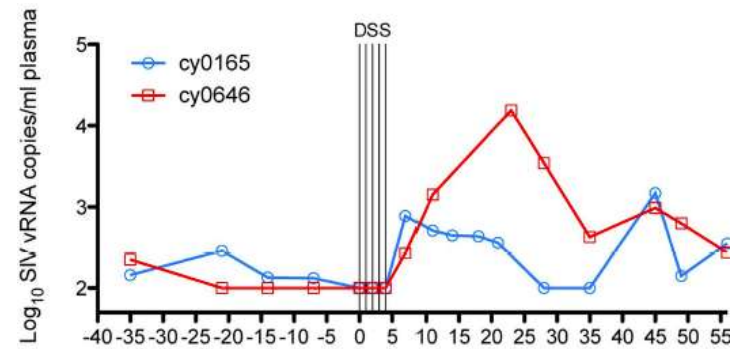
# Persistent microbial translocation and gut damage on long-term cART started during chronic HIV

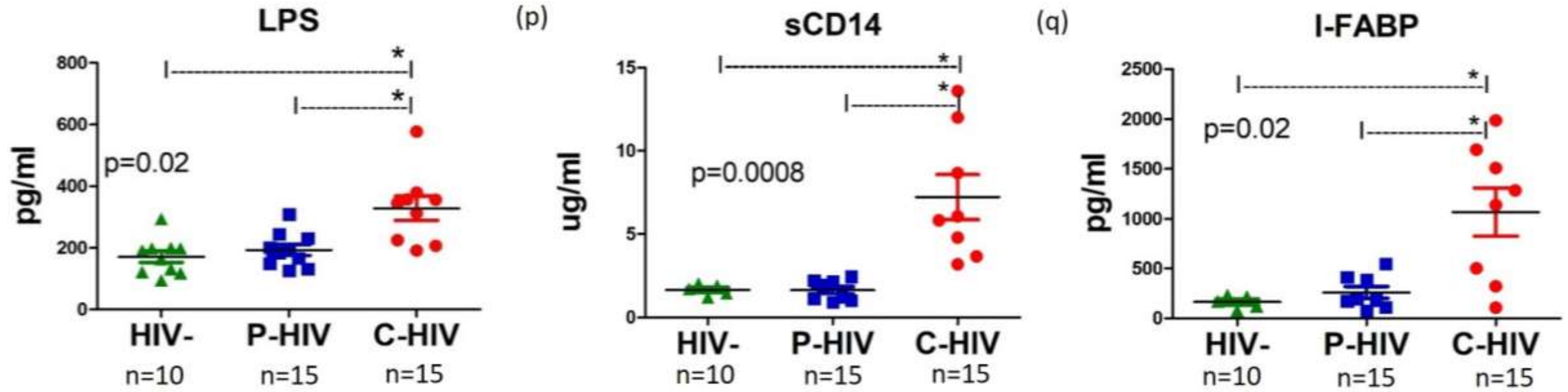
40 HIV+, nadir CD4 =300/ $\mu$ l



# Hyperacute microbial translocation precedes viremia

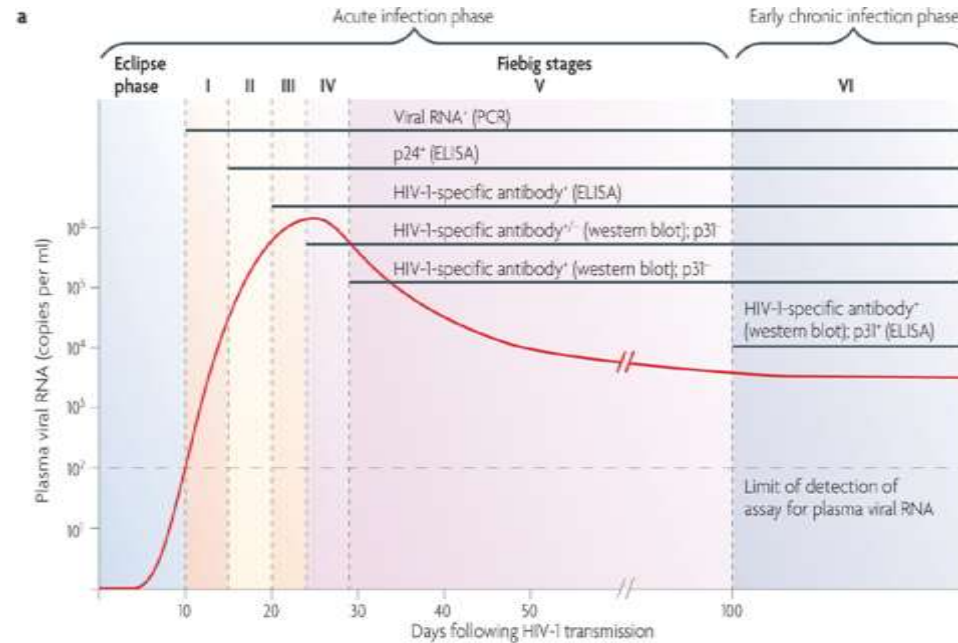
8 macaques infected with SIVmac239



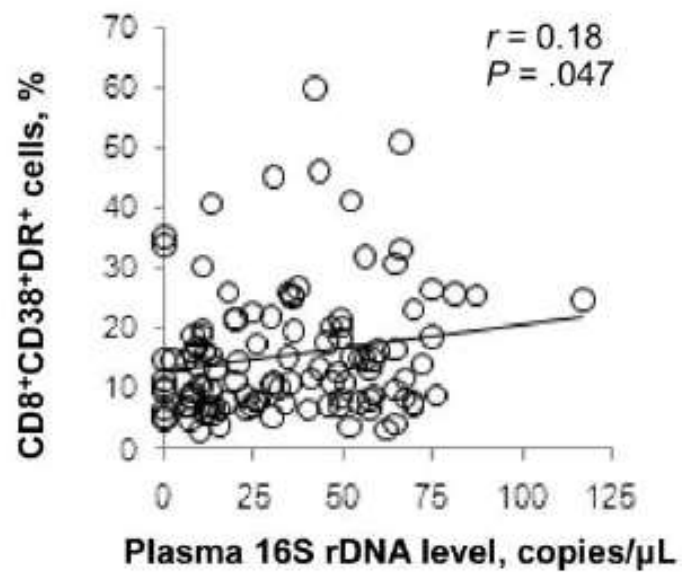
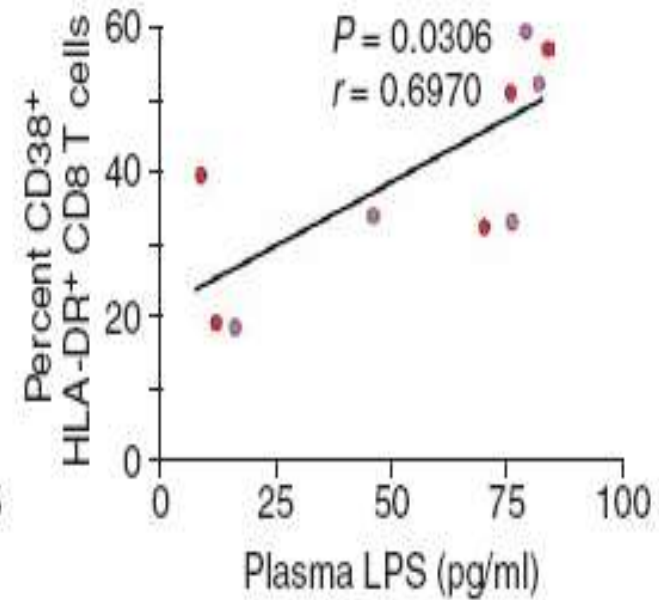
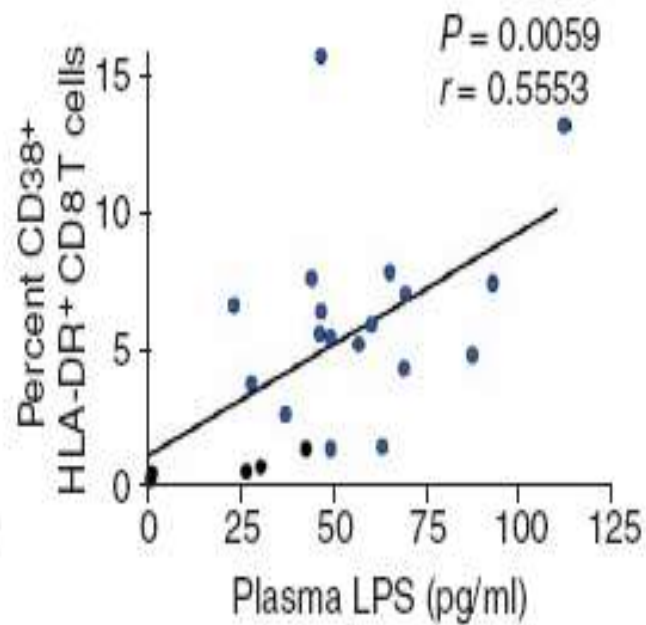
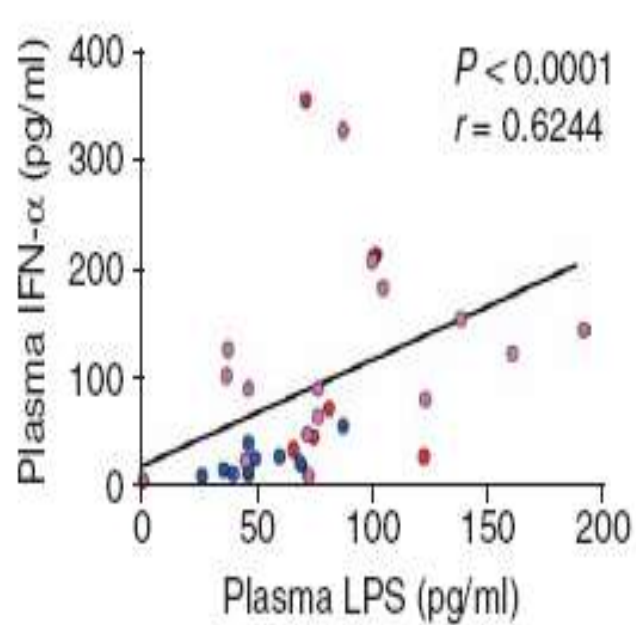


### Fiebig Stage, n

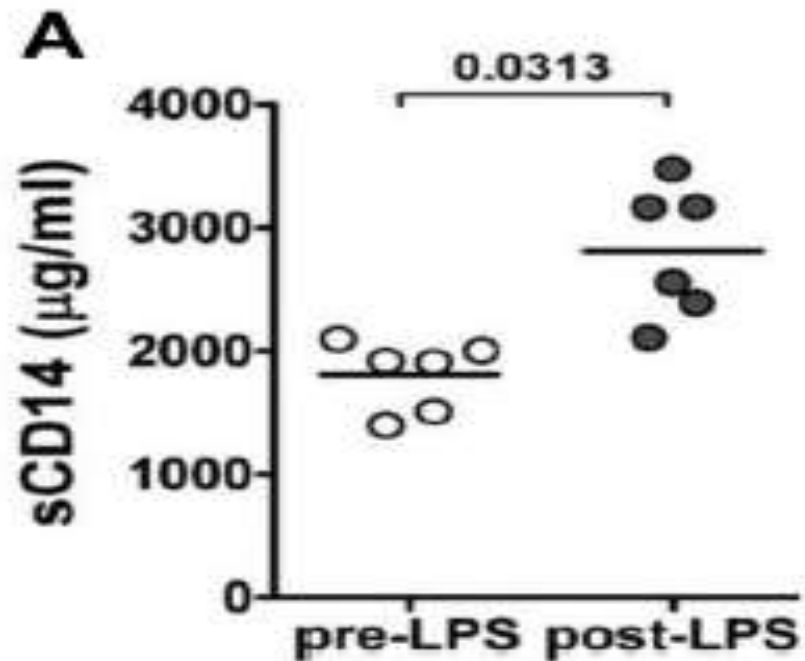
I-II	2
III	5
IV-V	8 (3 IV; 5 V)



Microbial translocation as continuous  
challenge to immune activation

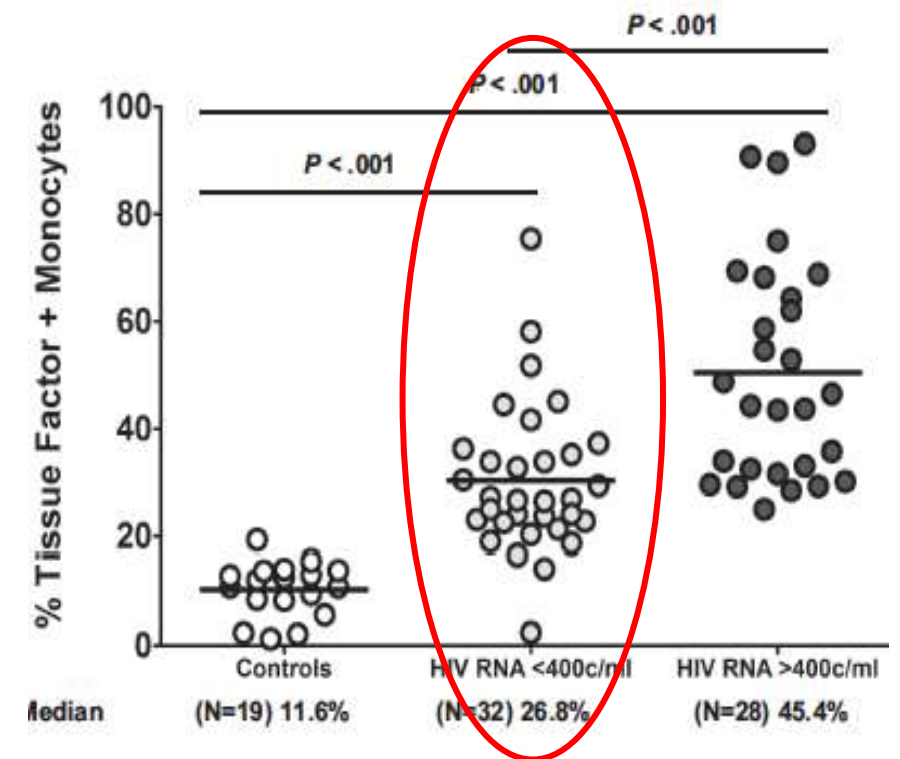


*In vivo* LPS  
administration enhances  
immune activation



Pandrea et al Blood 2012

*Ex vivo* LPS stimulation  
enhances monocyte  
activation



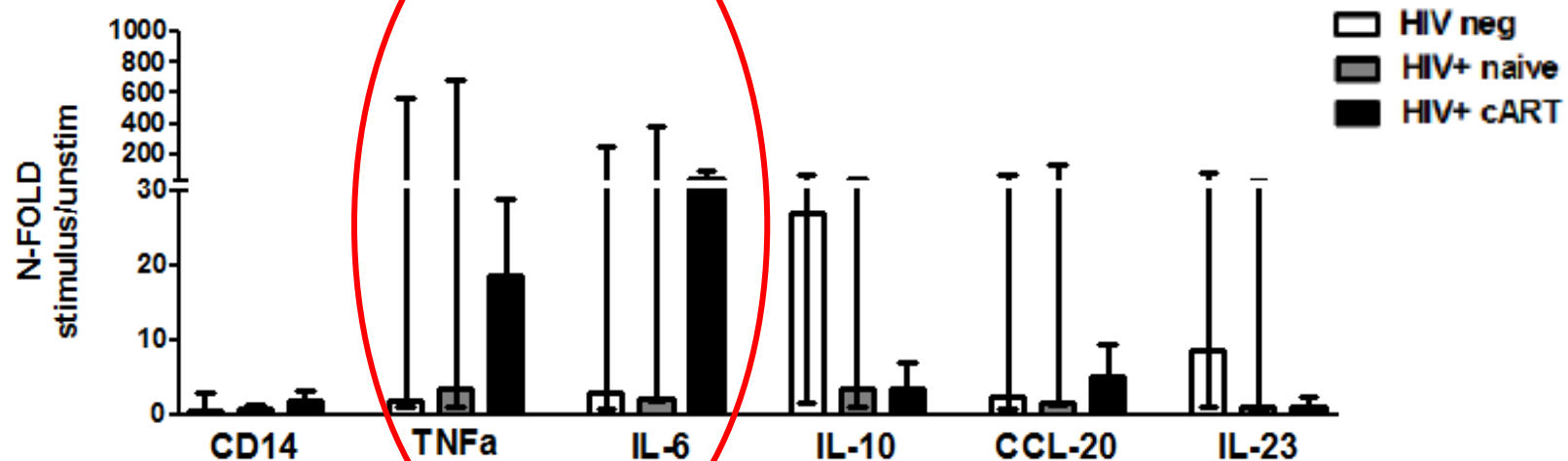
Funderburg N et al Blood 2010



# Ex vivo LPS stimulation drives monocyte activation

35 HIV+ cART-treated

	HIV negative (n=16)	HIV+ naive (n=28)	HIV+ cART (n=35)	P
LPS, pg/ml (IQR)	75 (75-81)	187 (97-427)	75 (75-147)	.012
sCD14, ug/ml (IQR)	1.96 (1.39-2.10)	4.56 (2.96-9.67)	4.77 (3-12.14)	.0002

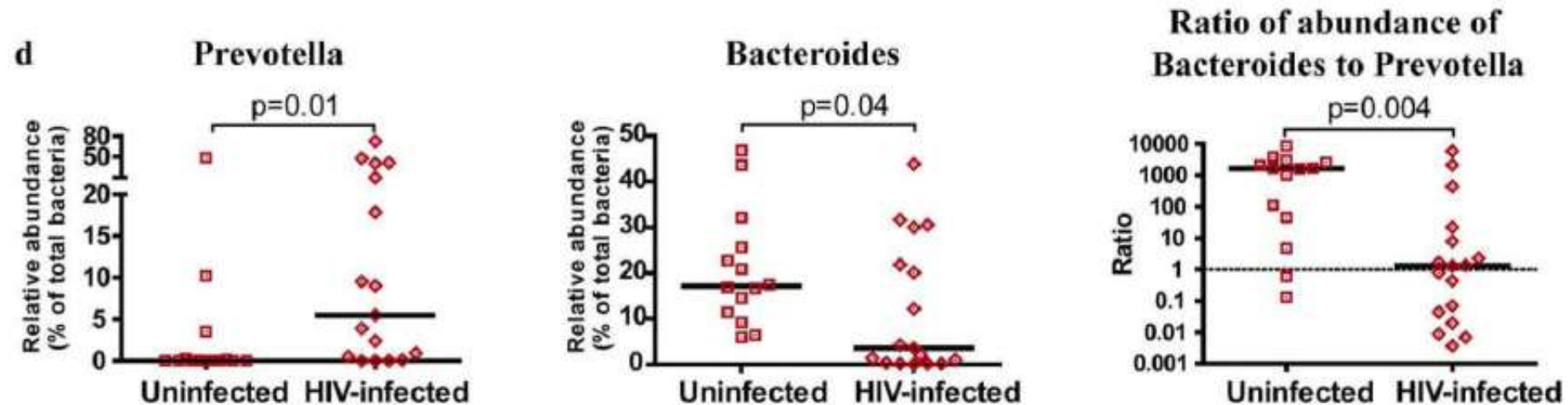
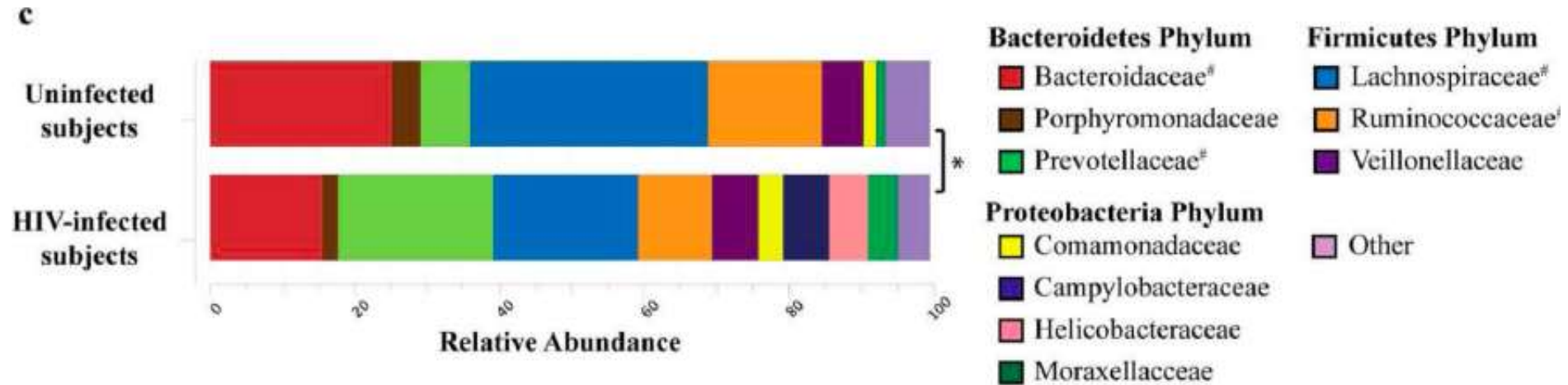


# Altered gut microbiota in SIV/HIV

		Macaque #575			Macaque #588		
		Day 0	Day 7	Day 14	Day 0	Day 7	Day 14
Gram-	<i>Escherichia coli</i>	++++	-	-	++++	-	-
	<i>Kluyvera</i> sp.	++++	-	-	++	-	-
	<i>Pseudomonas</i> sp.	+++	-	-	++++	-	-
	<i>Klebsiella pneumoniae</i>	+++	-	-	++++	-	-
	<i>Citrobacter freundii</i>	+++	++++	++++	++++	++++	++++
	<i>Klebsiella oxyloca</i>	+++	-	-	-	-	-
	<i>Enterobacter</i> sp.	-	-	-	+++	-	++++
	<i>S. maltophilia</i>	-	-	+	-	-	-
	<i>Campylobacter</i> sp.	-	-	-	++++	-	-
	<i>Salmonella</i> sp.	-	-	-	-	-	-
	<i>Yersinia</i> sp.	-	-	-	-	-	-
	<i>Shigella</i> sp.	-	-	-	-	-	-
Gram+	<i>Staphylococcus</i> sp.	++	++	+++	++	-	-
	<i>Bacillus</i> sp.	+++	++++	-	+++	-	++++
	<i>Lactobacillus</i> sp.	+++	-	+++	++++	-	-
	<i>Enterococcus</i> sp.	-	++++	-	-	++++	-

'+' signs signify relative amounts of bacterial species cultured

Colon biopsies from 17 untreated HIV; 14 uninfected controls

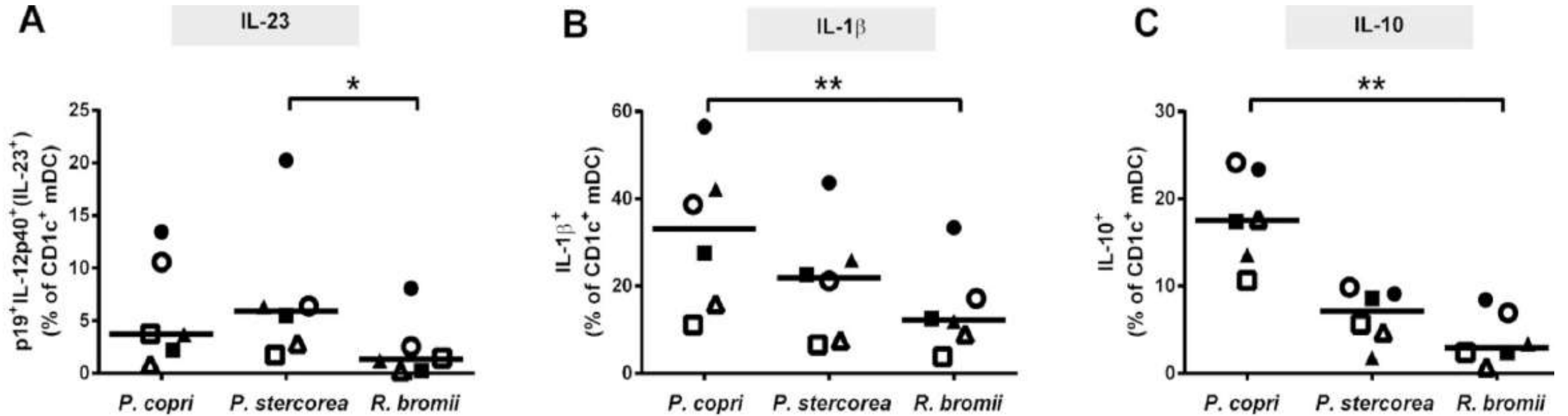


Dillon et al., Mucosal Immunol, 2014

**Prevotella-rich, Bacteroides-poor gut microbioma**

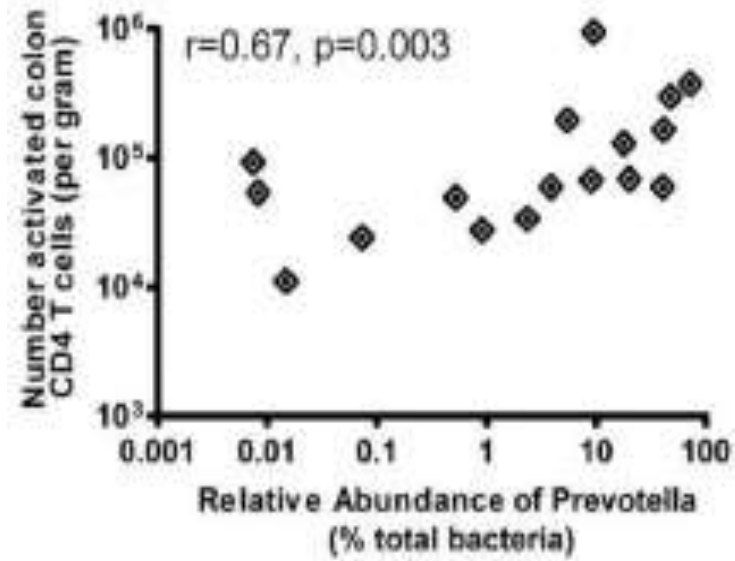
Gut dysbiosis as driver  
of immune activation

Colonic LPMC exposed to several HAMB  
(*HIV Altered Mucosal Barrier*)



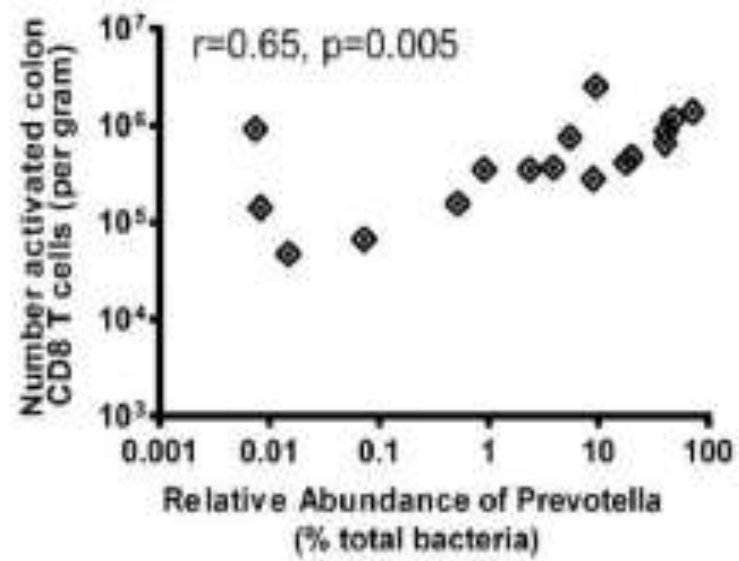
Prevotella spp. drive ex vivo increased production of pro-inflammatory cytokines by LP mDCs

### Activated colon CD4 T cells



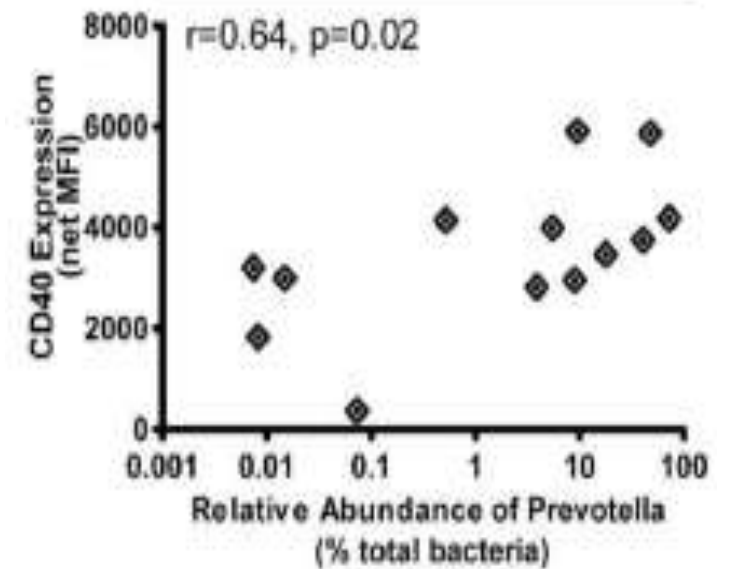
c

### Activated colon CD8 T cells



d

### Activated colon CD1c<sup>+</sup> mDC

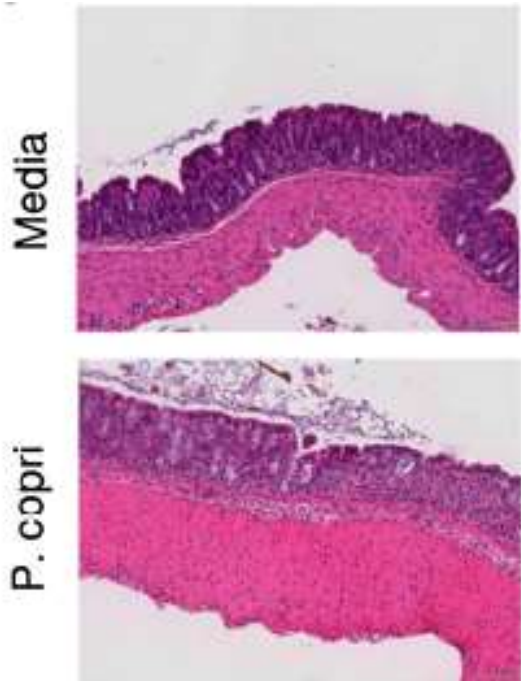
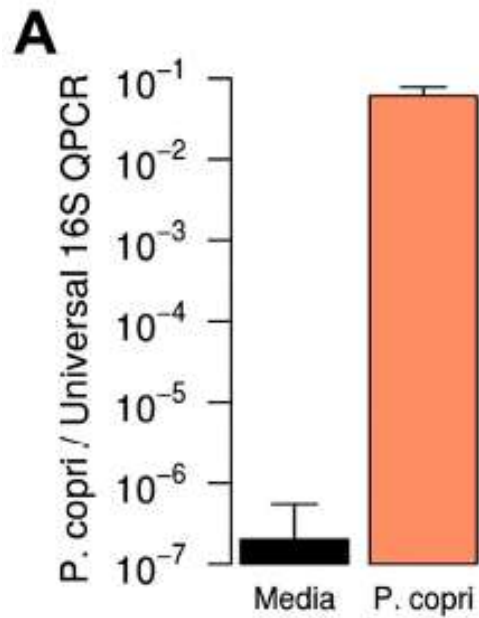




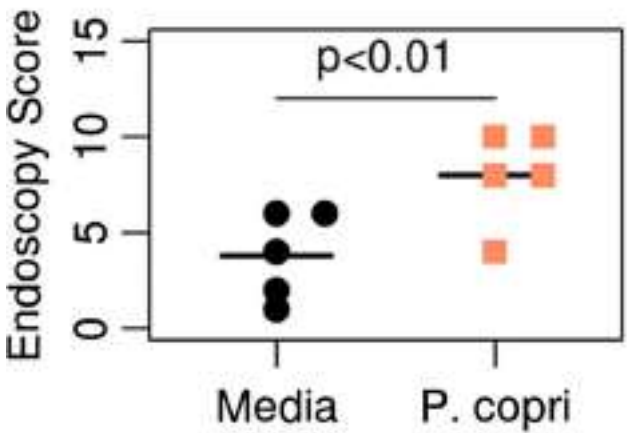
Taxon	P77 inflammation		P178 inflammation	
	Yes	No	Yes	No
<i>Bacteroidetes</i>	74	42	54	61
' <i>Clostridia</i> '	10	53	40	33
<i>Enterobacteriaceae</i>	16	5	0	0
Other bacteria	0	0	6	6

Patients with  
ulcerative colitis

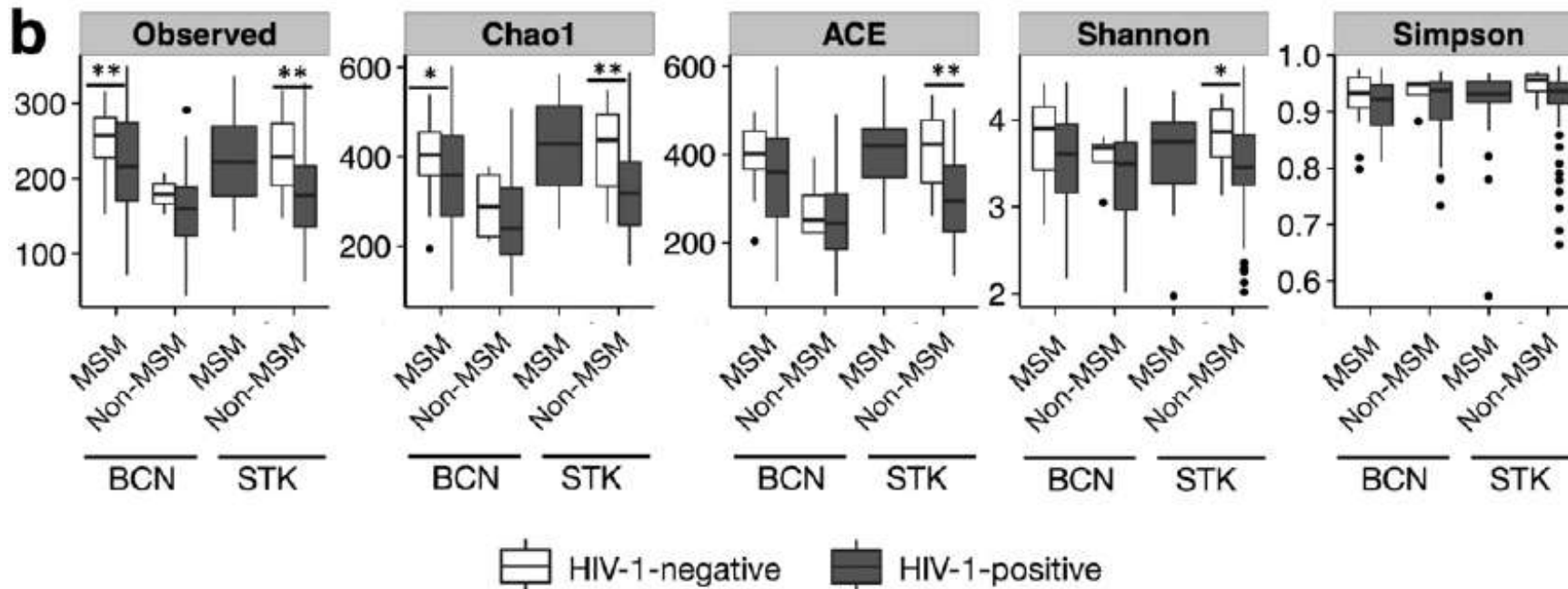
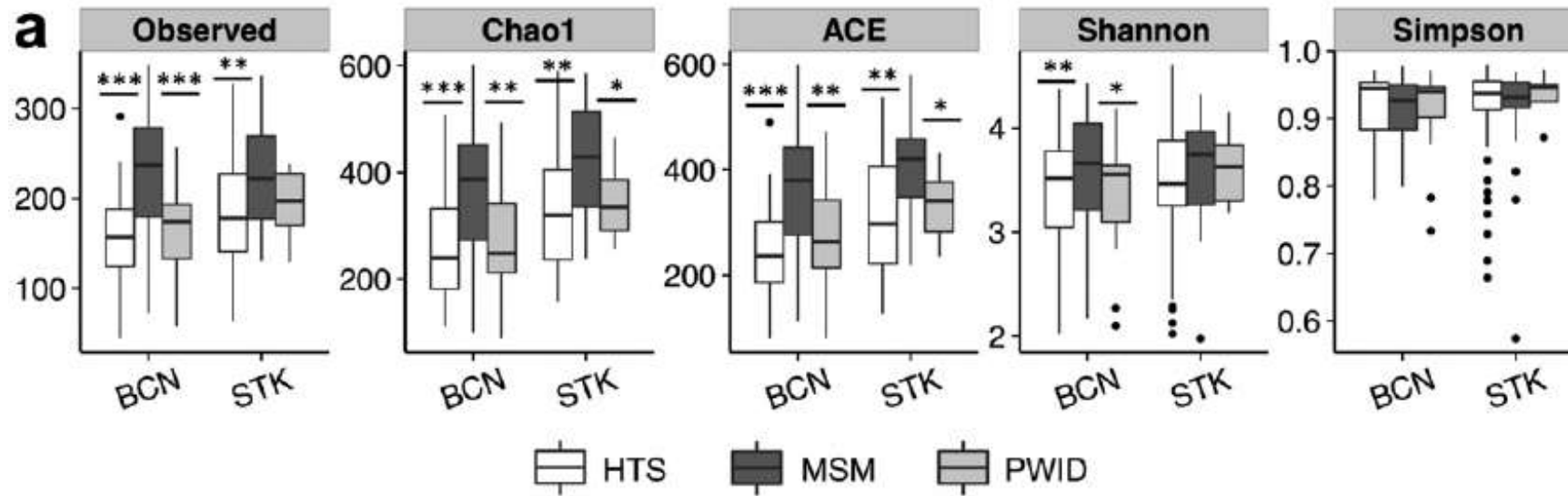
Lucke et al. J Med Microbiol 2006



Antibiotic-treated mice  
colonized with *P. copri*; DSS-  
induced colitis



Scher et al. Elife 2013



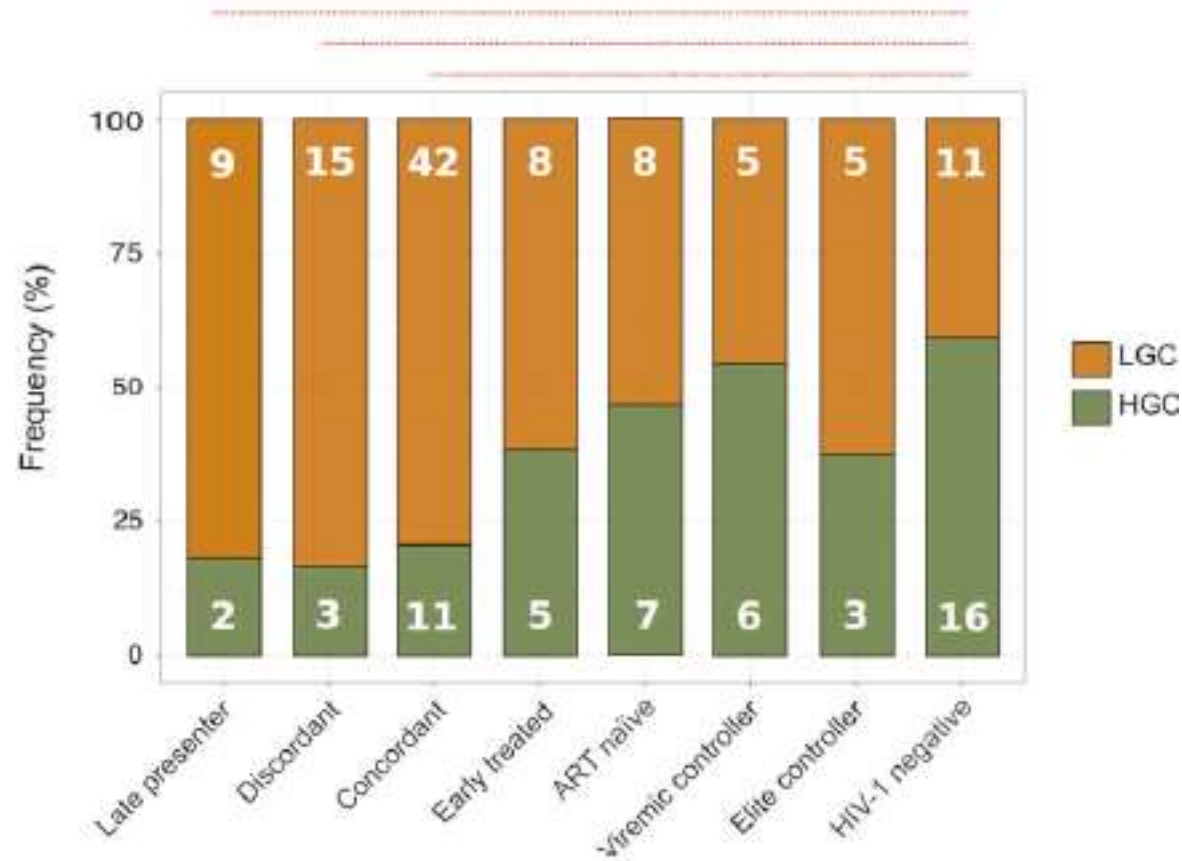
Changes in  
microbiota  
associated to  
sexual preference  
(and HIV)

# Fecal whole metagenome shotgun sequencing

a

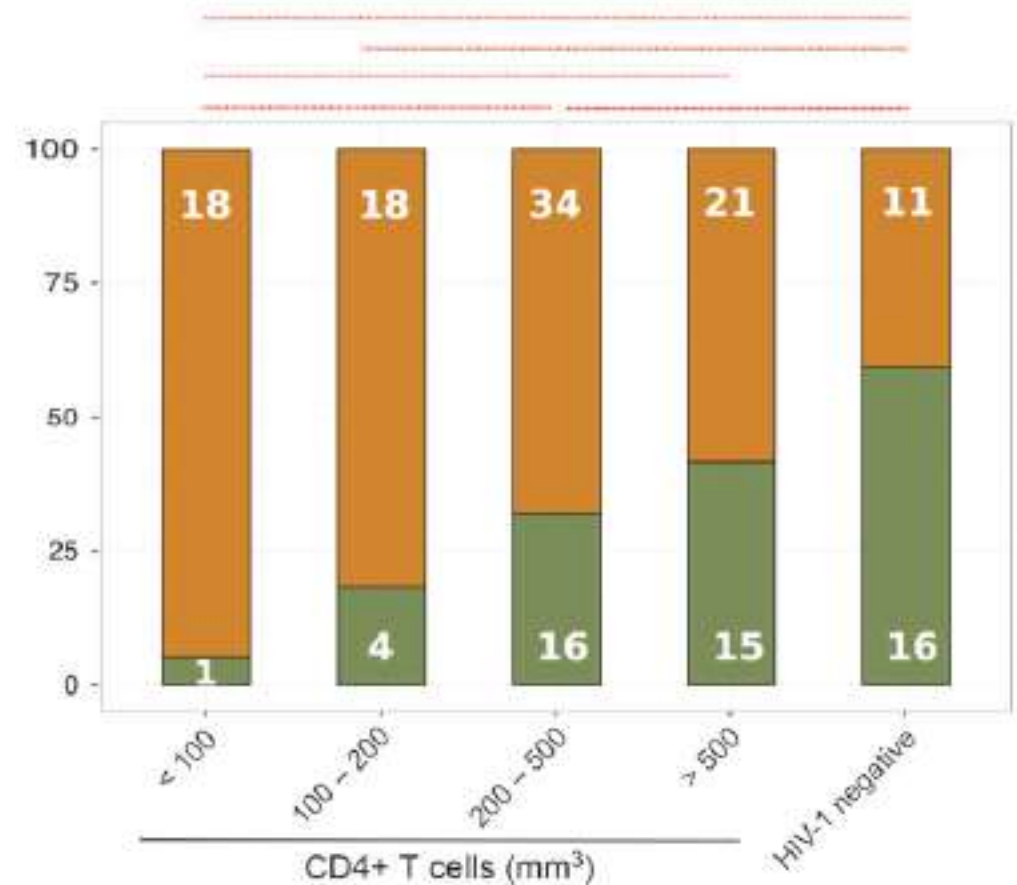
Gene richness by HIV-1 phenotype

$\chi^2$  P value = 0.009



Gene richness by nadir CD4+ T-cell counts

$\chi^2$  P value = 0.002



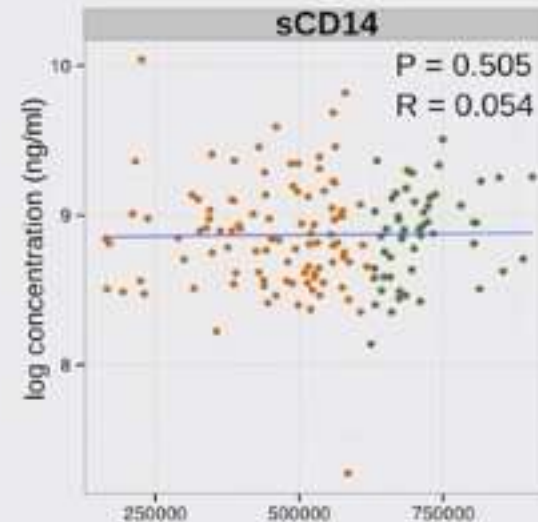
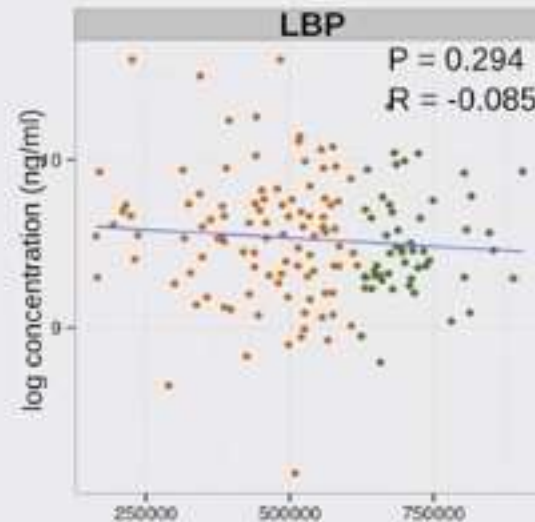
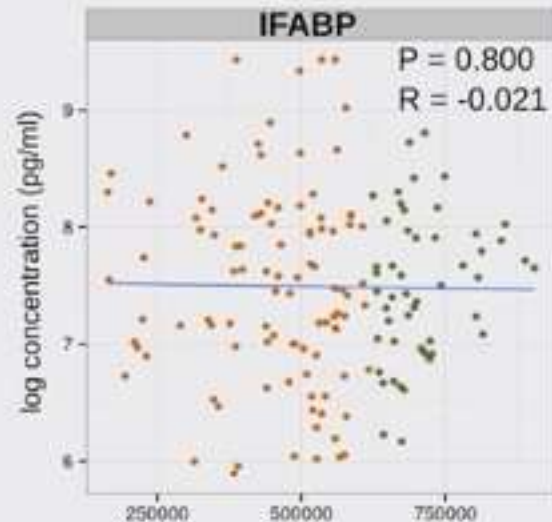
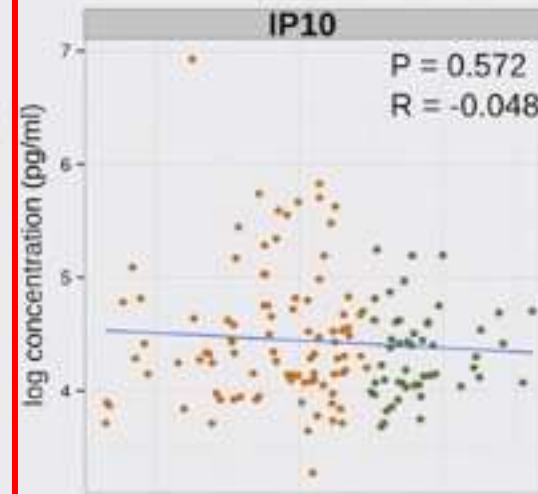
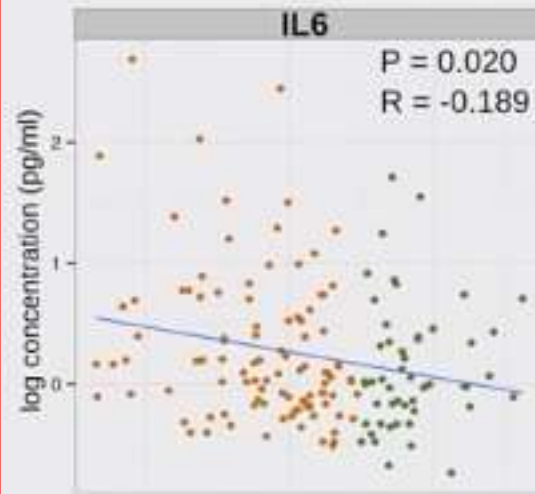
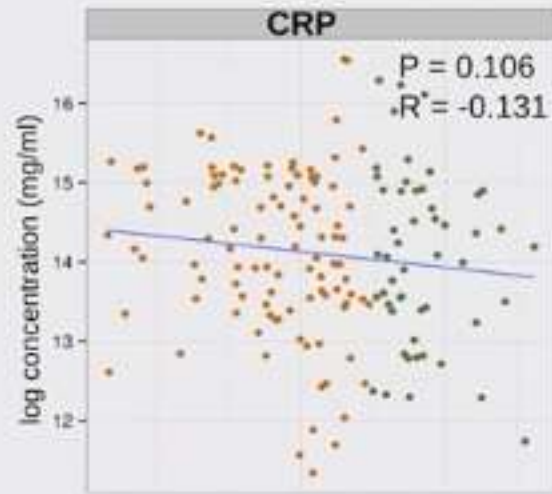
**Table 2.** Factors associated with low-microbial gene counts<sup>a</sup>

		Univariate			Multivariate		
		OR	95% CI	p Value	OR	95% CI	p Value
Age	Per each additional year	1.03	[1–1.07]	0.074	–	–	–
Gender	Female	1					
	Male	0.32	[0.10–0.82]	0.028	–	–	–
	Transgender woman	–	–	–			
Ethnic Group	Caucasian	1			1		
	Hispanic-Latin	0.27	[0.11–0.64]	0.003	0.26	[0.10–0.67]	0.006
	Asiatic and others <sup>b</sup>	–	–	–	–	–	–
HIV-1 risk group	Non-MSM	1			1		
	MSM	0.17	[0.06–0.39]	<0.001	0.20	[0.07–0.51]	0.002
HIV-1 status	Negative	1					
	Positive	3.68	[1.57–8.89]	0.003	–	–	–
Nadir CD4+ T-cell count, cells/mm <sup>3</sup>	HIV-1 negative	1			1		
	>500	2.04	[0.75–5.74]	0.169	2.13	[0.73–6.45]	0.173
	200–500	3.09	[1.19–8.37]	0.006	2.92	[1.03–8.62]	0.047
	100–200	6.55	[1.86–27.71]	0.023	5.55	[1.40–26.15]	0.020
	<100	26.18	[4.40–506.6]	0.003	14.00	[2.02–288.71]	0.023

<sup>a</sup>Full dataset analysis,  $n = 156$  subjects<sup>b</sup>Analysis does not apply because all subjects are included in the same response group

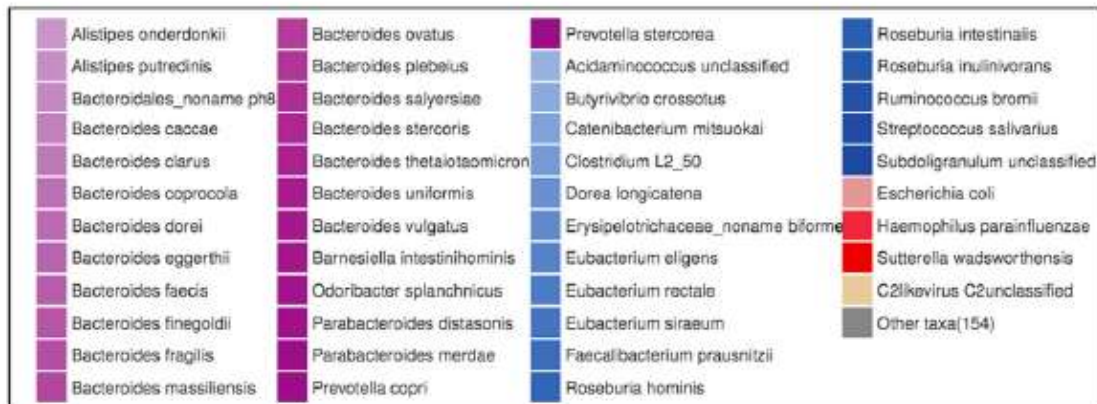
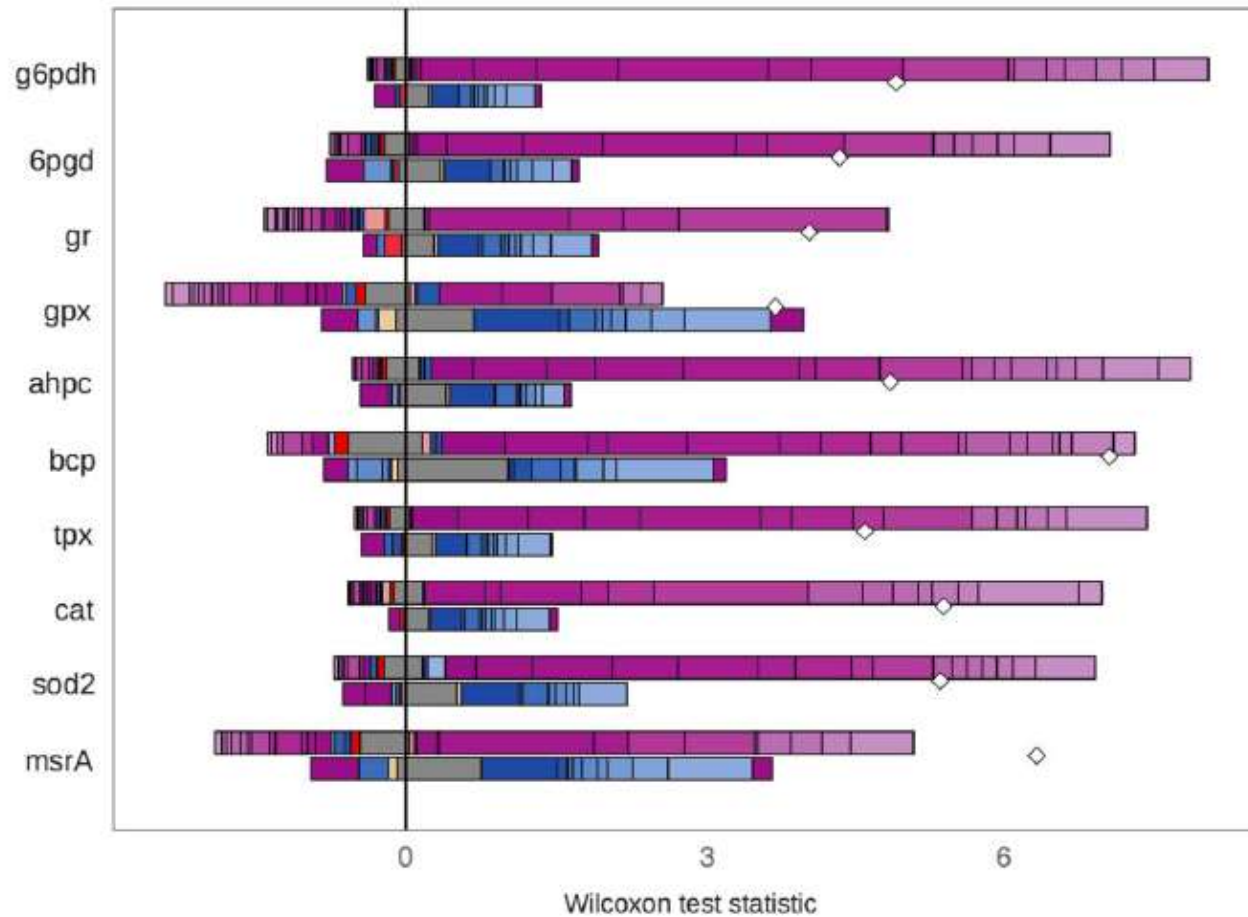
MSM men-who-have-sex-with-men

# INFLAMMATION



Lower genetic richness is associated with inflammation



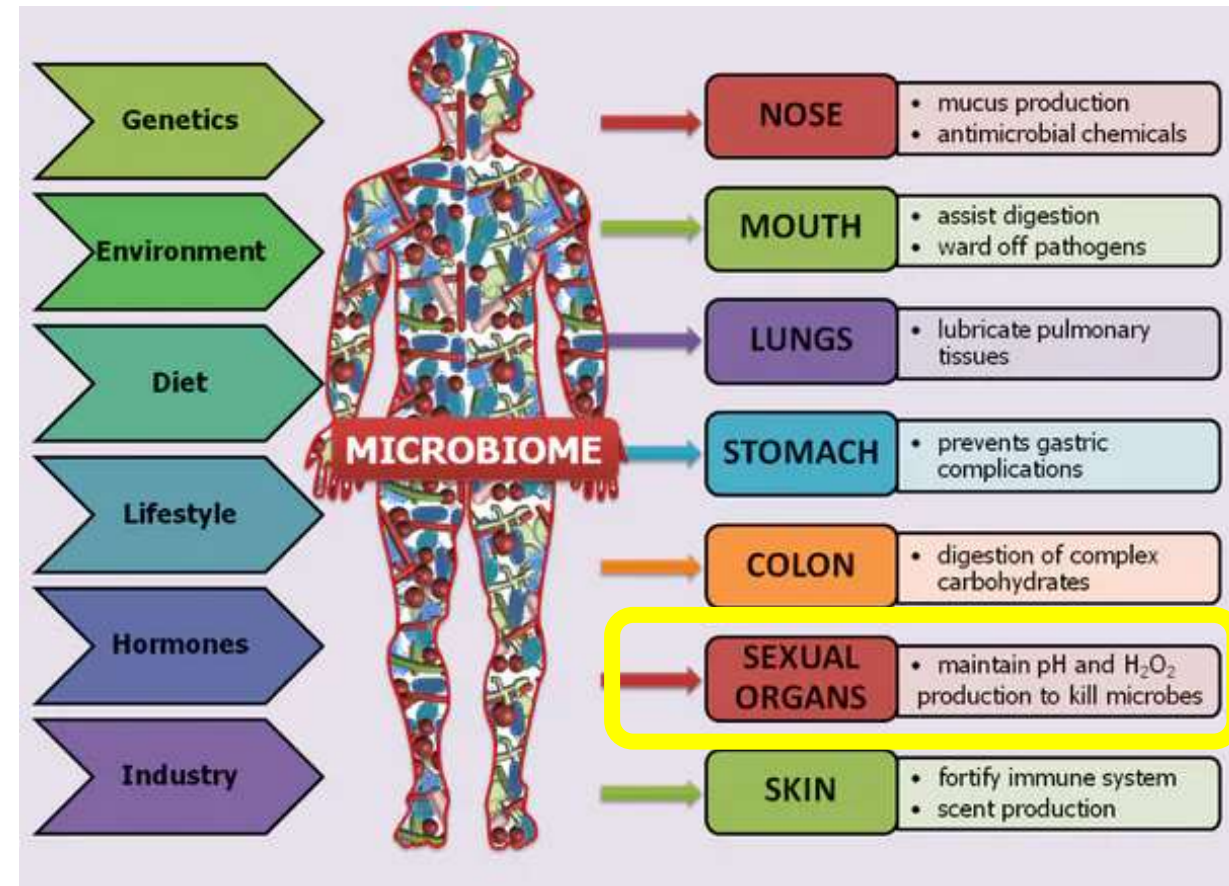
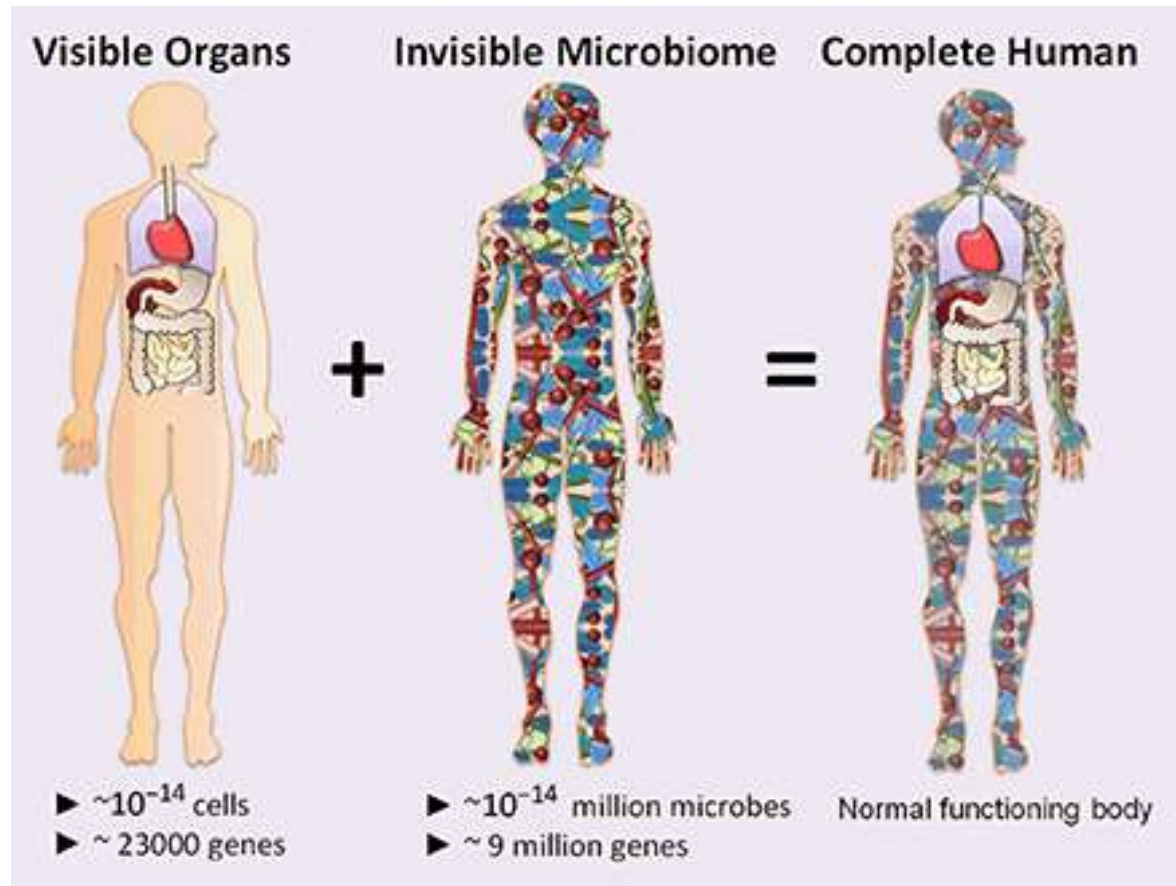


Low gene content were enriched in genes involved in reactive oxygen and nitrogen (ROS/RNS) metabolism encoded by Bacteroides and Proteobacteria

Adaptation of the gut microbial ecosystem to continuous (HIV-driven) oxidative stress



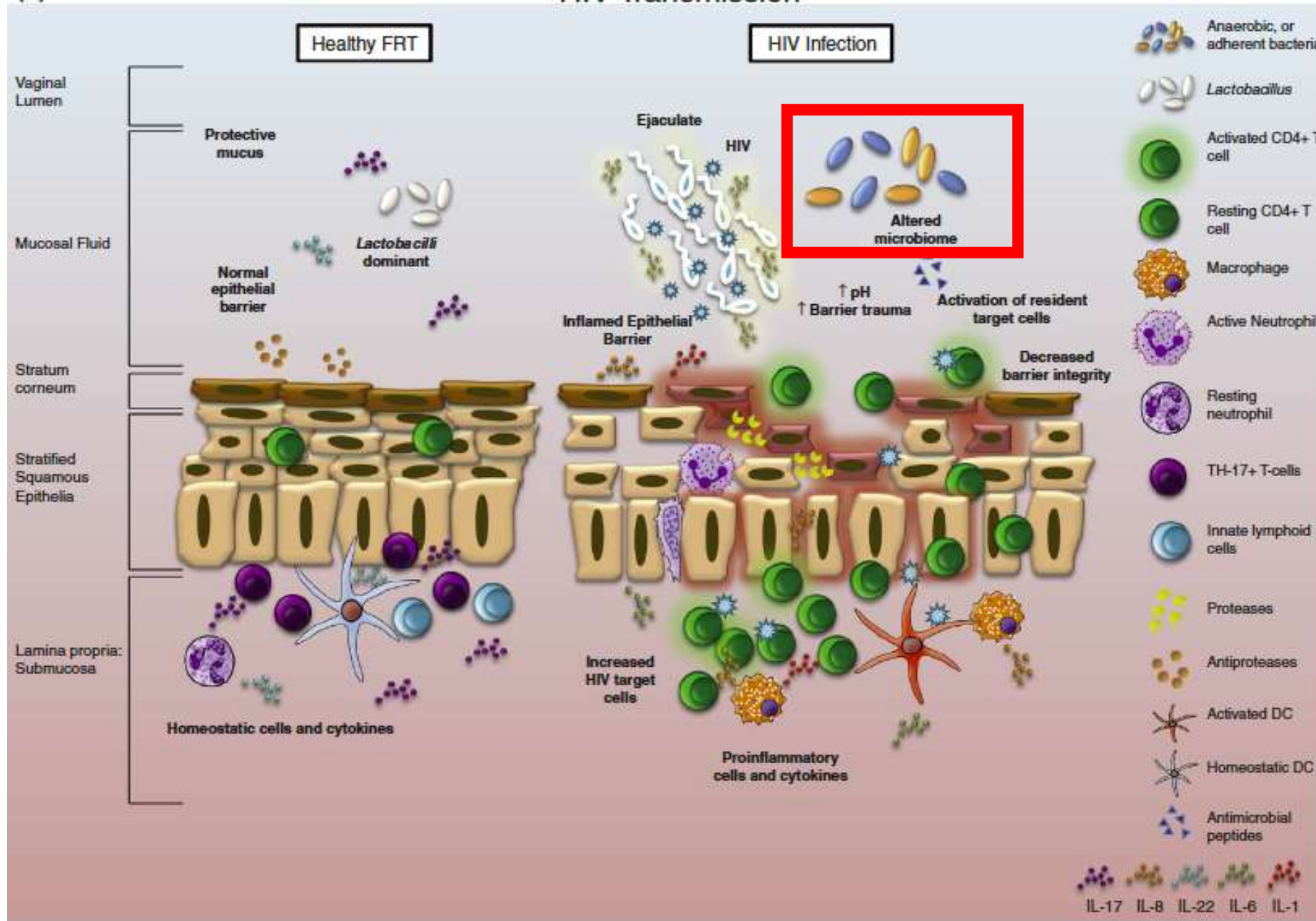
Dysbiosis and HIV aquisition?



**Clinical question: does  
microbiome affect HIV  
acquisition? – issue for PreP**

(a)

## HIV Transmission

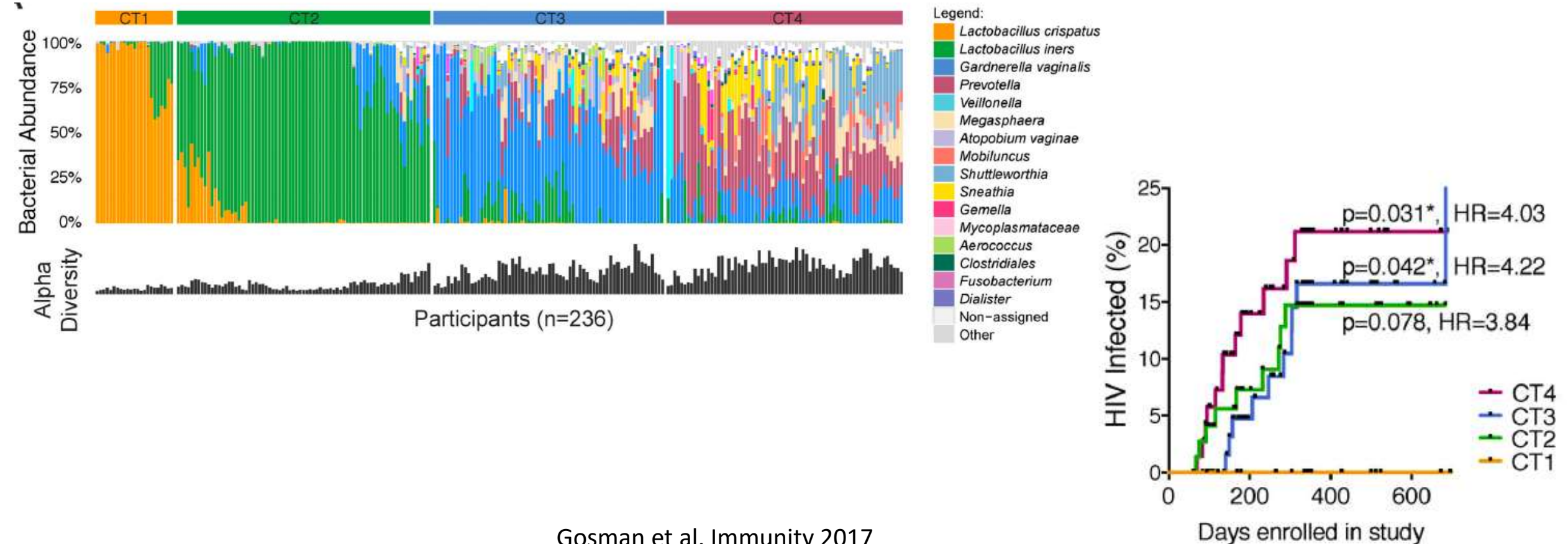


Putative mechanisms behind HIV transmission in the female reproductive tract (FRT)

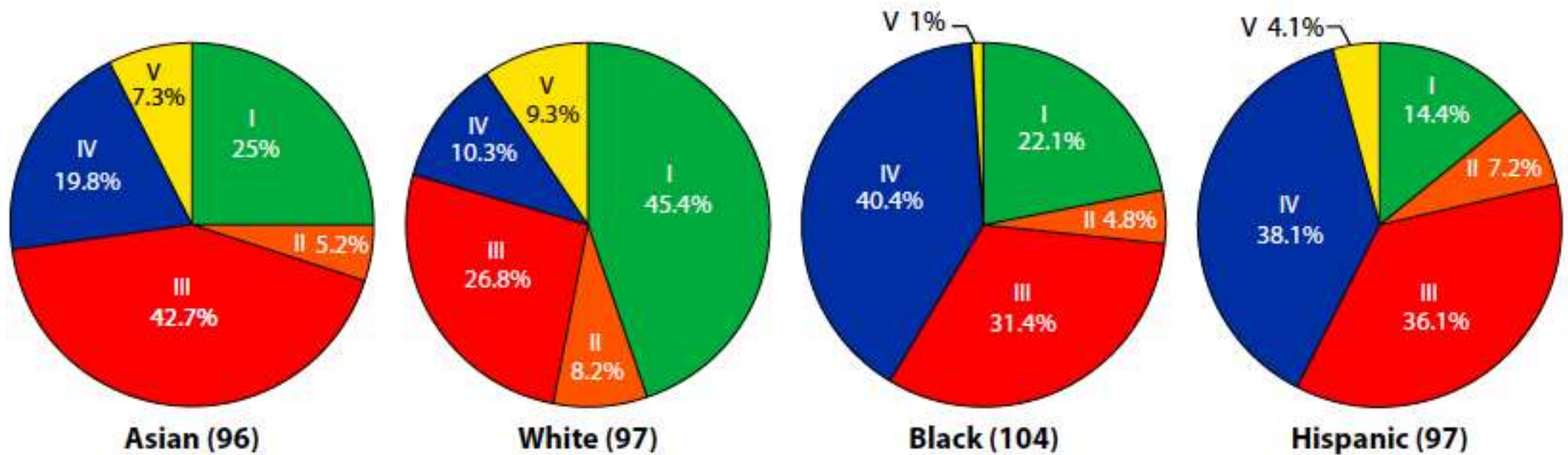


# Vaginal microbiome: possible cervicotypes (CT) influence HIV acquisition

FRESH cohort (South Africa), 236 HIV uninfected women (31 became infected)

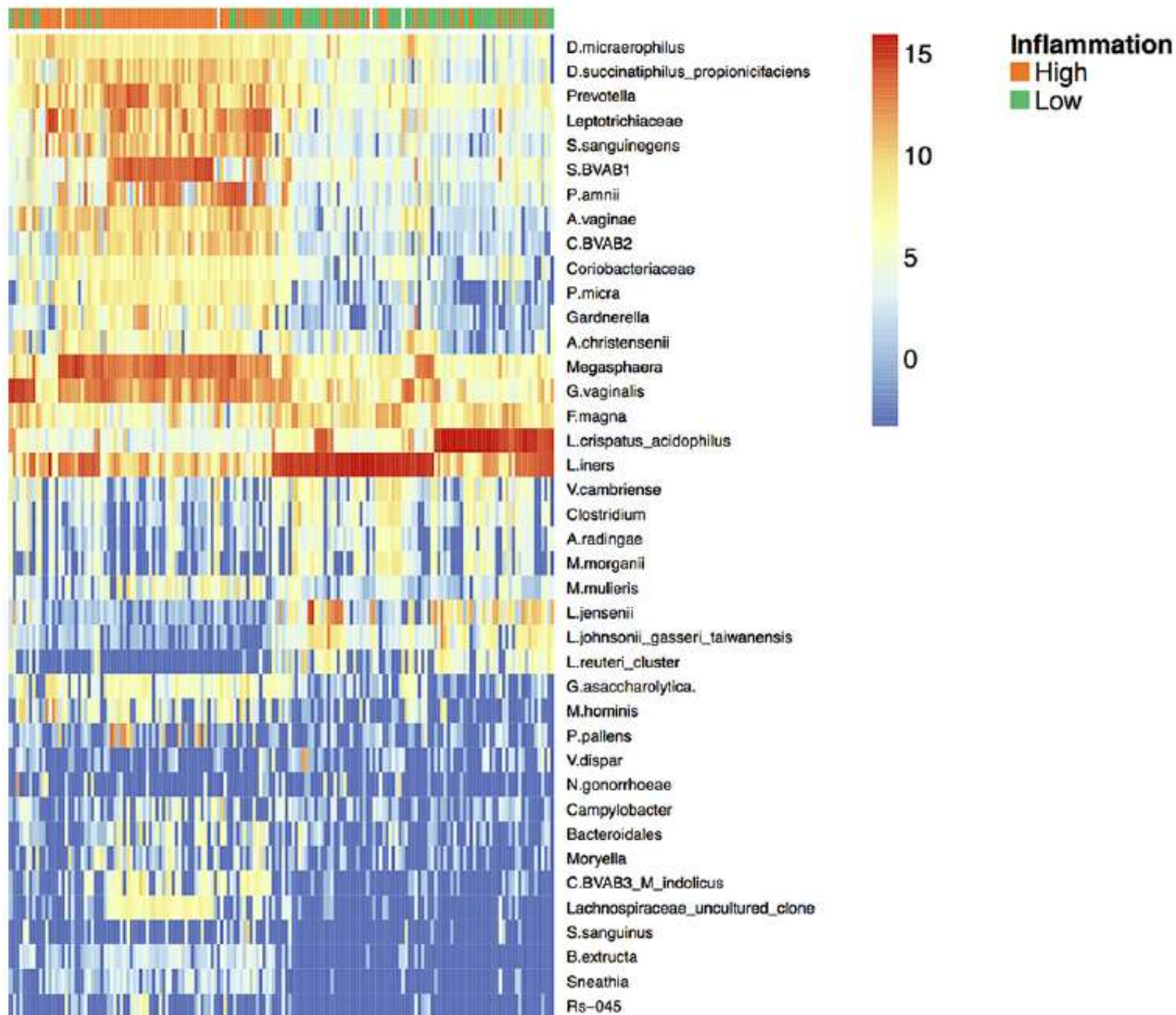


# Diverse vaginal microbiome across ethnicities





**Which mechanism(s)  
by which vaginal  
bacterial dysbiosis  
increases HIV  
transmission?**



WISH cohort: 168 HIV-uninfected women in South Africa

Vaginal dysbiosis is associated to genital inflammation

# Vaginal dysbiosis is a cause of genital inflammation

**TABLE 2** Multivariate logistic regression analysis of predictors of genital inflammation

Predictor <sup>b</sup>	Coefficient	P value	Adjusted odds ratio <sup>a</sup>	95% CI
Location (JHB)	0.7	0.4		
Hormonal contraception (yes)	2.6	9.00e−04	14	3–72
STI (any)	0.4	0.4		
Microbiota subtype (C1 vs C2/C3)	3.1	3.00e−07	23	8–84
Ethnicity (Xhosa vs other)	0.3	0.7		
BMI	−0.02	0.7		

<sup>a</sup>Adjusted for all other variables in the table.

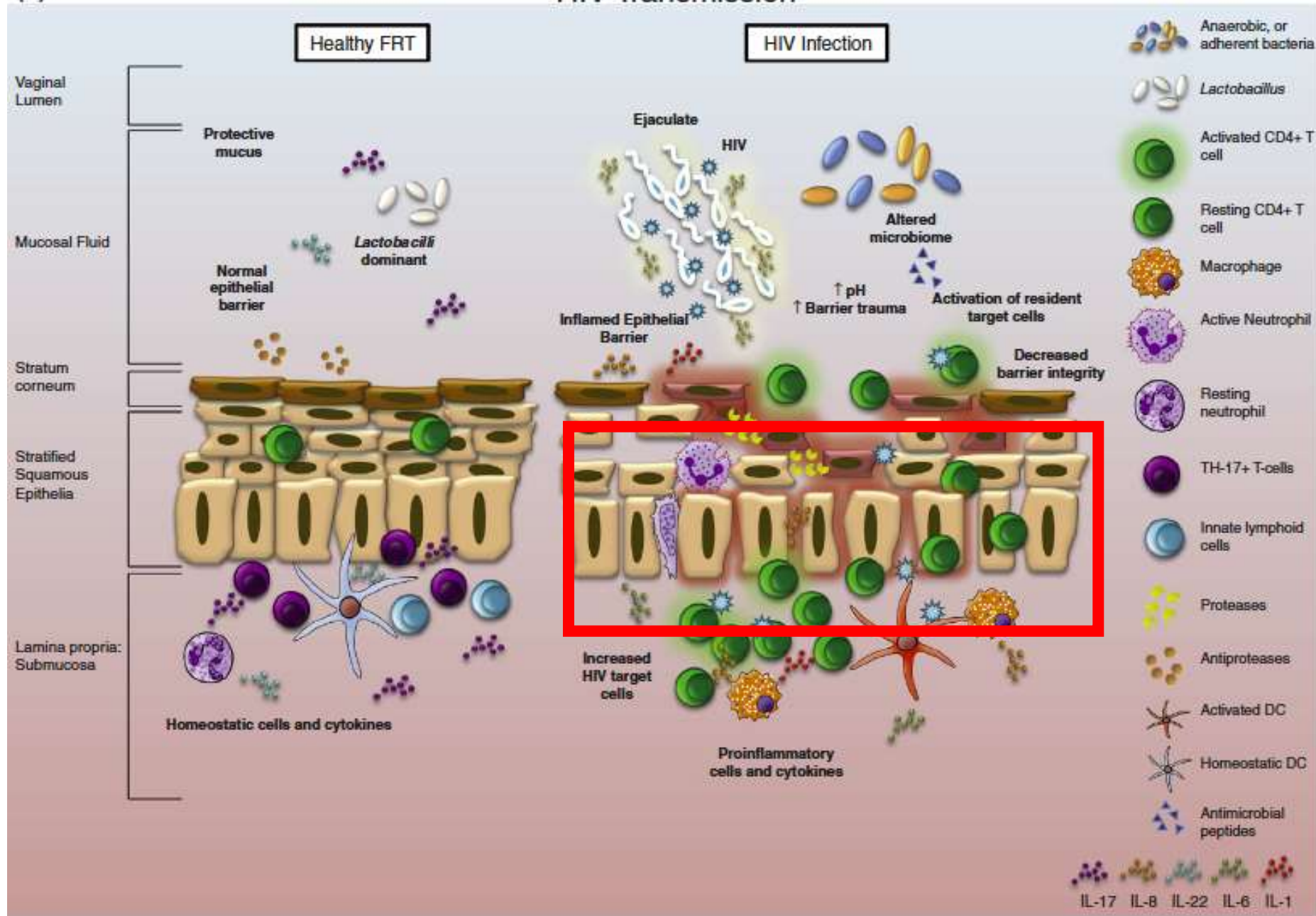
<sup>b</sup>STI, sexually transmitted infection; JHB, Johannesburg.

C2/C3=  
*Lactobacillus*-rich  
cervicotypes



(a)

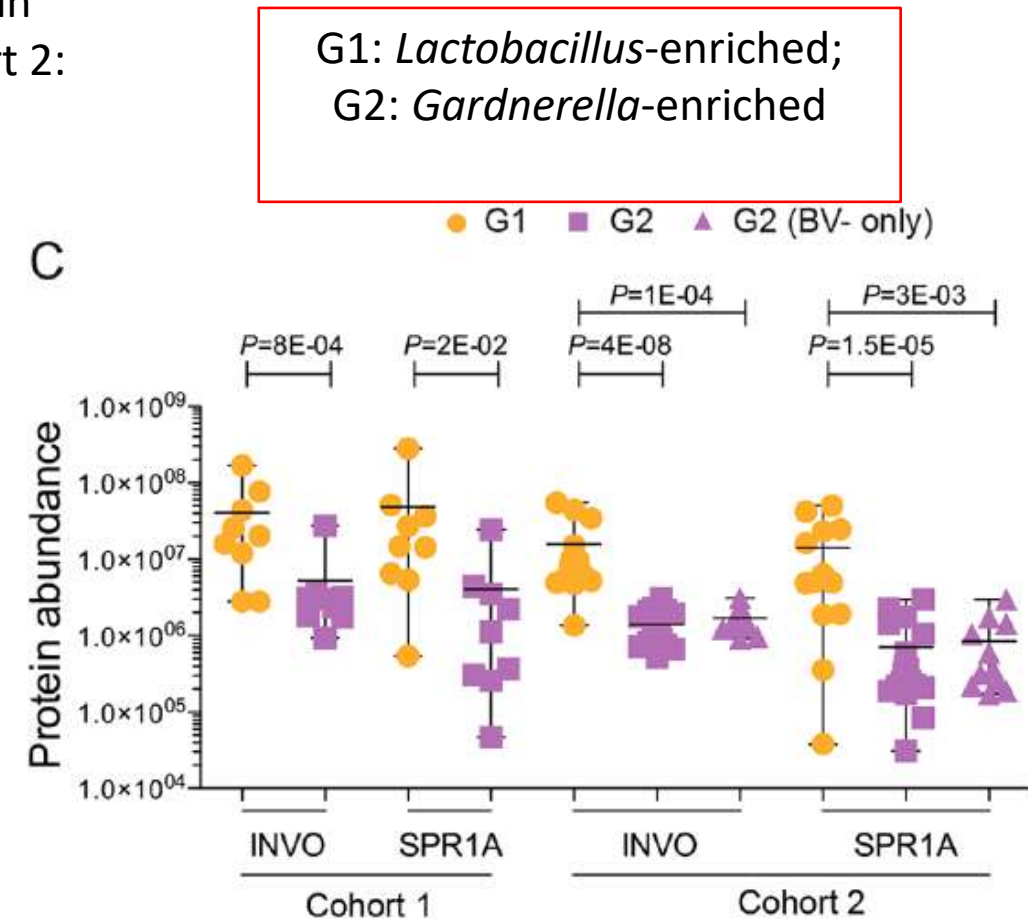
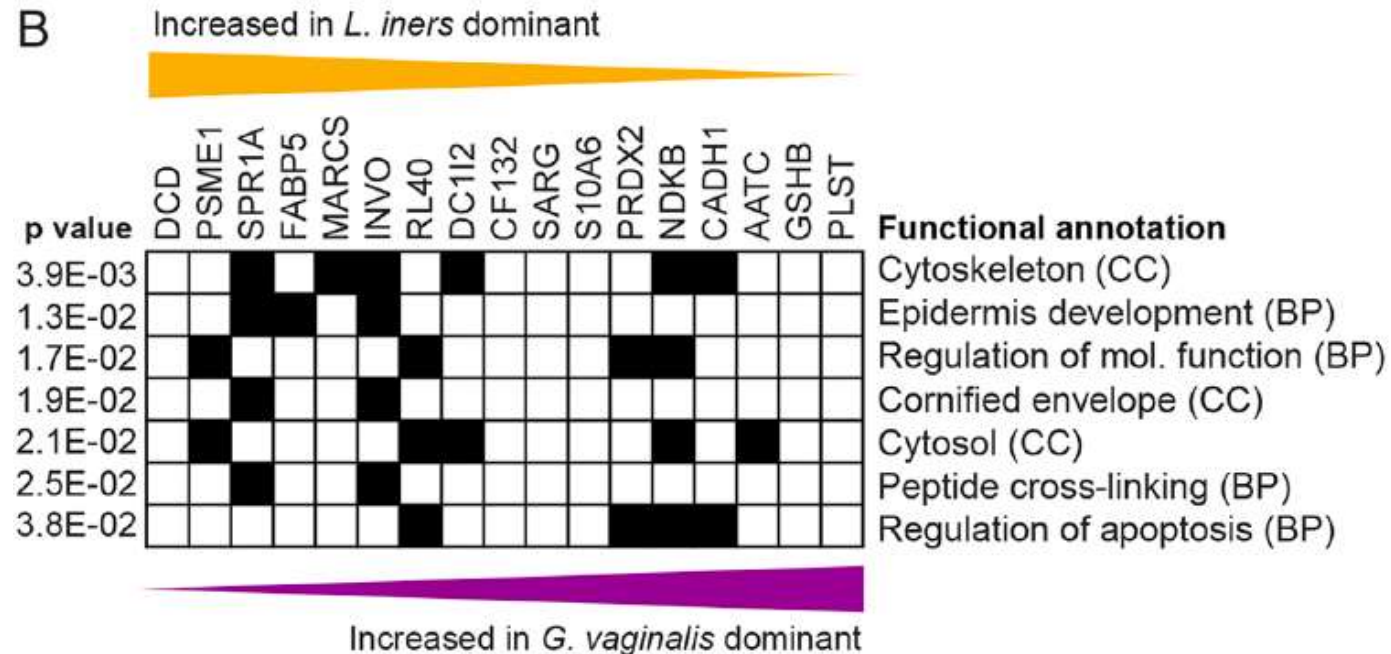
## HIV Transmission



Vaginal dysbiosis and epithelial barrier disruption?

# Vaginal epithelial barrier proteins are lower in *Gardnerella*- vs *Lactobacillus*-enriched cervicotypes

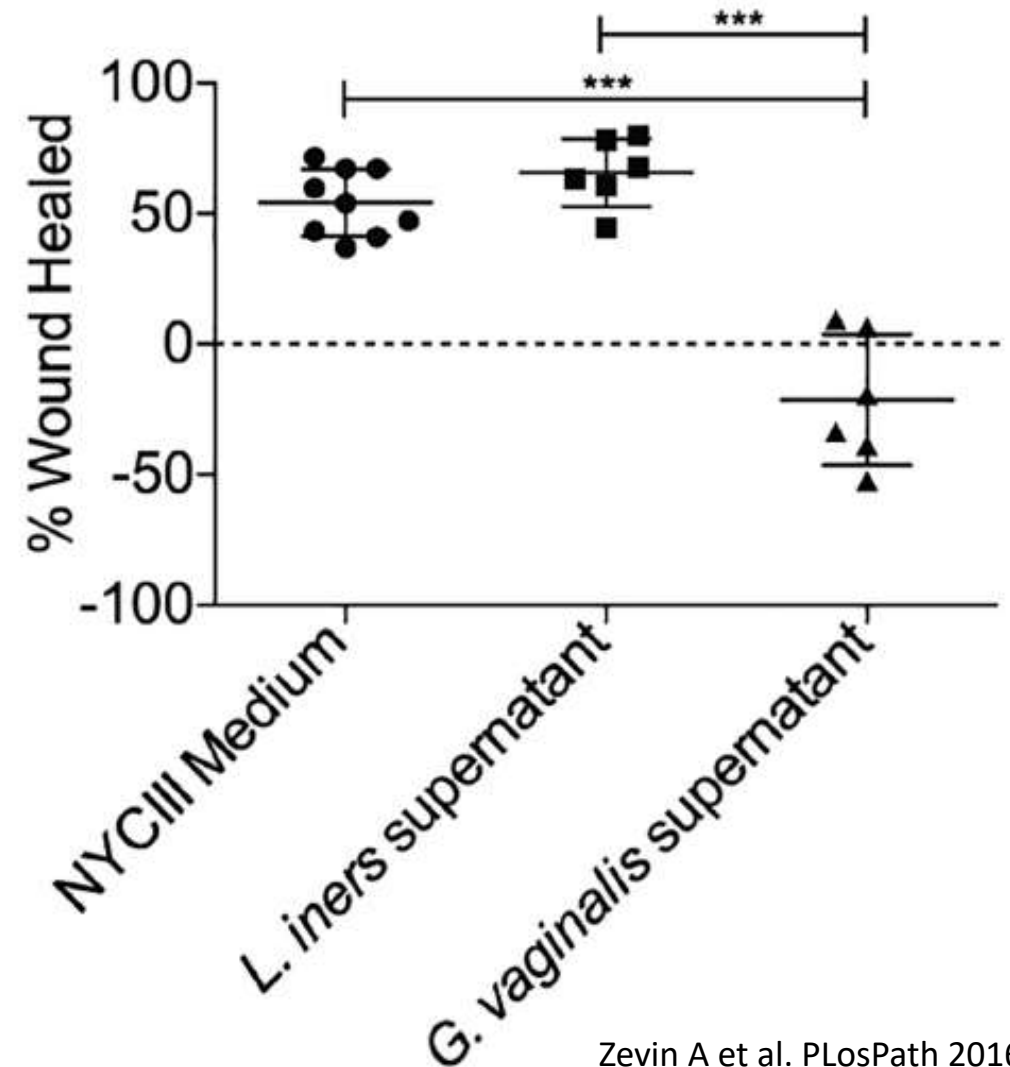
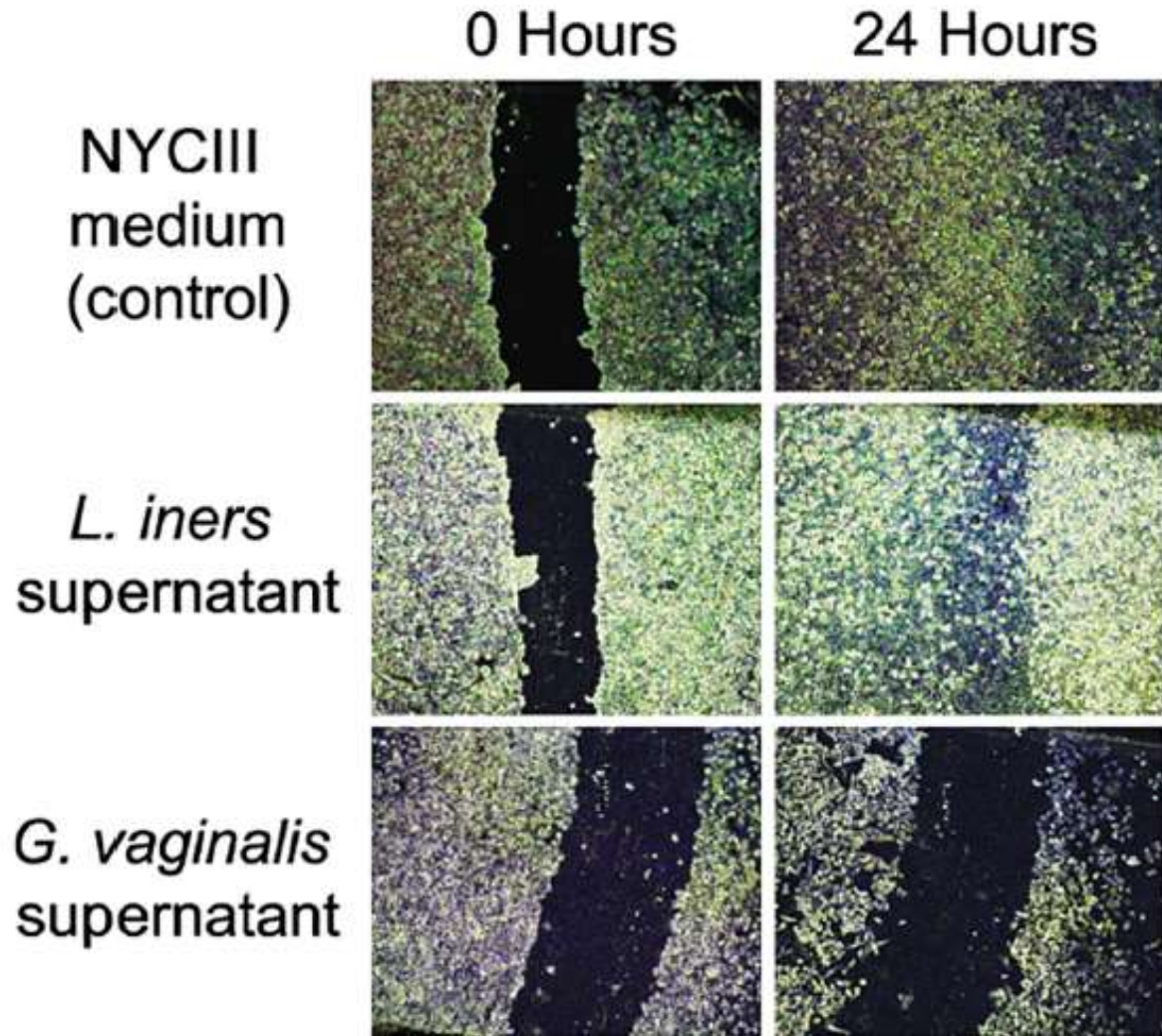
Cohort1: female partners in Partner PreP (Kenya); Cohort 2: uninfected women (US)



Cornified envelope proteins: involucrin (INVO); Small Prolin-Rich Protein 1A (SPR1A)



# Bacterial species drive FRT epithelial barrier repair





**Vaginal dysbiosis  
reduces mucosal  
epithelial integrity**

**HIV-driven dysbiosis:**

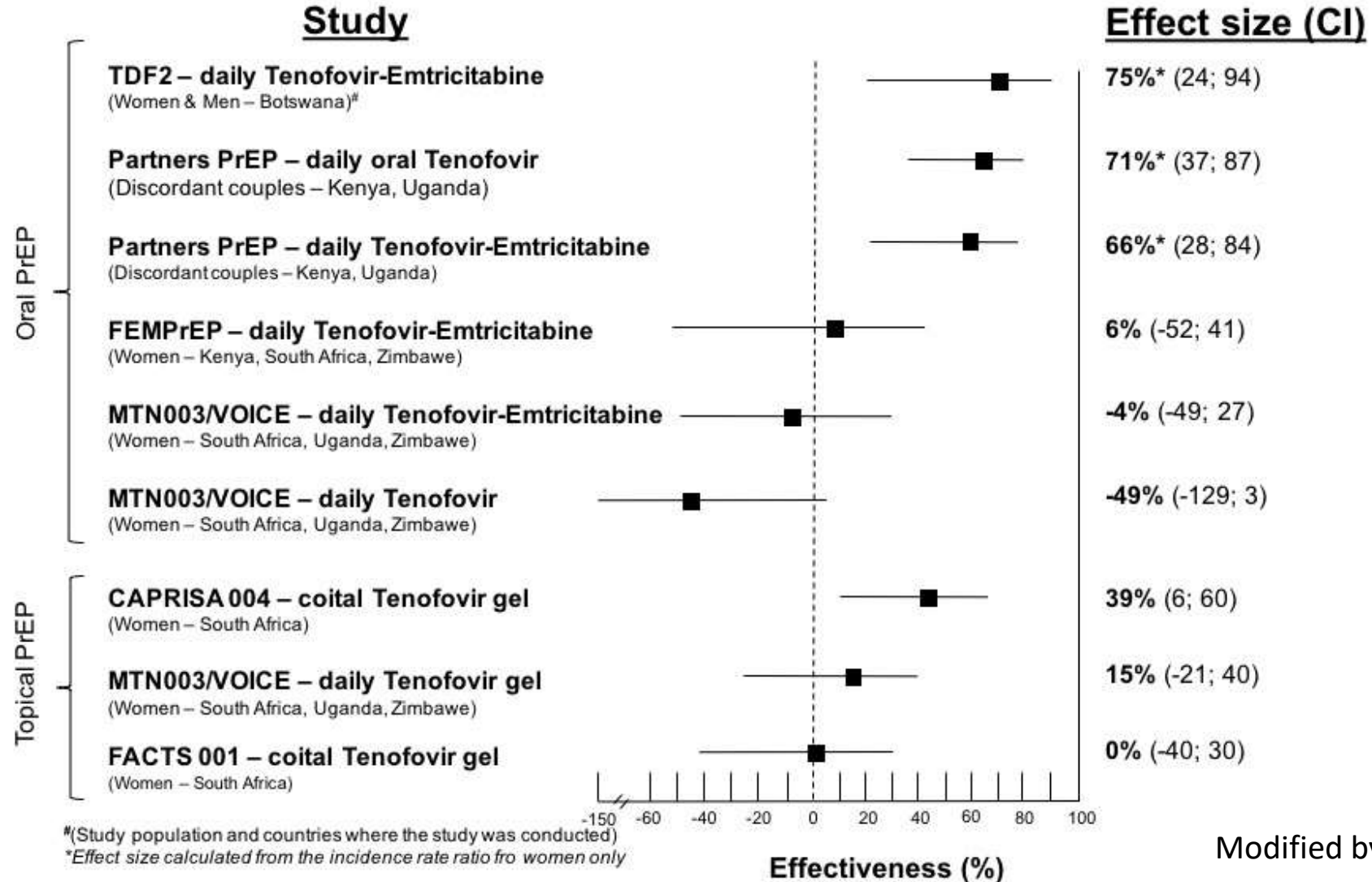
**induces mucosal  
inflammation**

**reduces mucosal  
epithelial integrity**

**Facilitates HIV  
mucosal  
infection/transmission**

**The clinical question:  
does dysbiosis affect  
PrEP efficacy?**

# Lower PrEP effectiveness in women versus men



Modified by Klatt CROI 2018

# Lower PrEP effectiveness in women versus men

Adherence?

Mucosal drug penetrance/pharmacokinetics? [Seifert et al. AIDS

Hum Retrov 2016]

Other biological mechanism(s)? Vaginal microbioma? Vaginal inflammation?

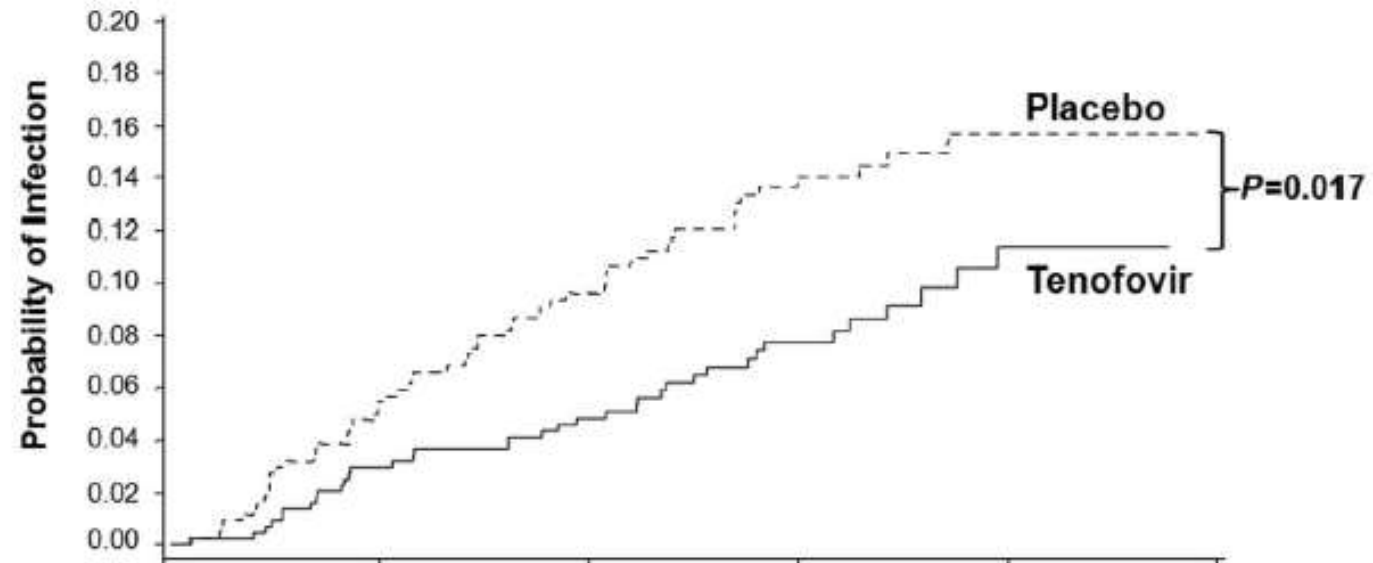


# The Caprisa-004 Tenofovir gel PreP trial

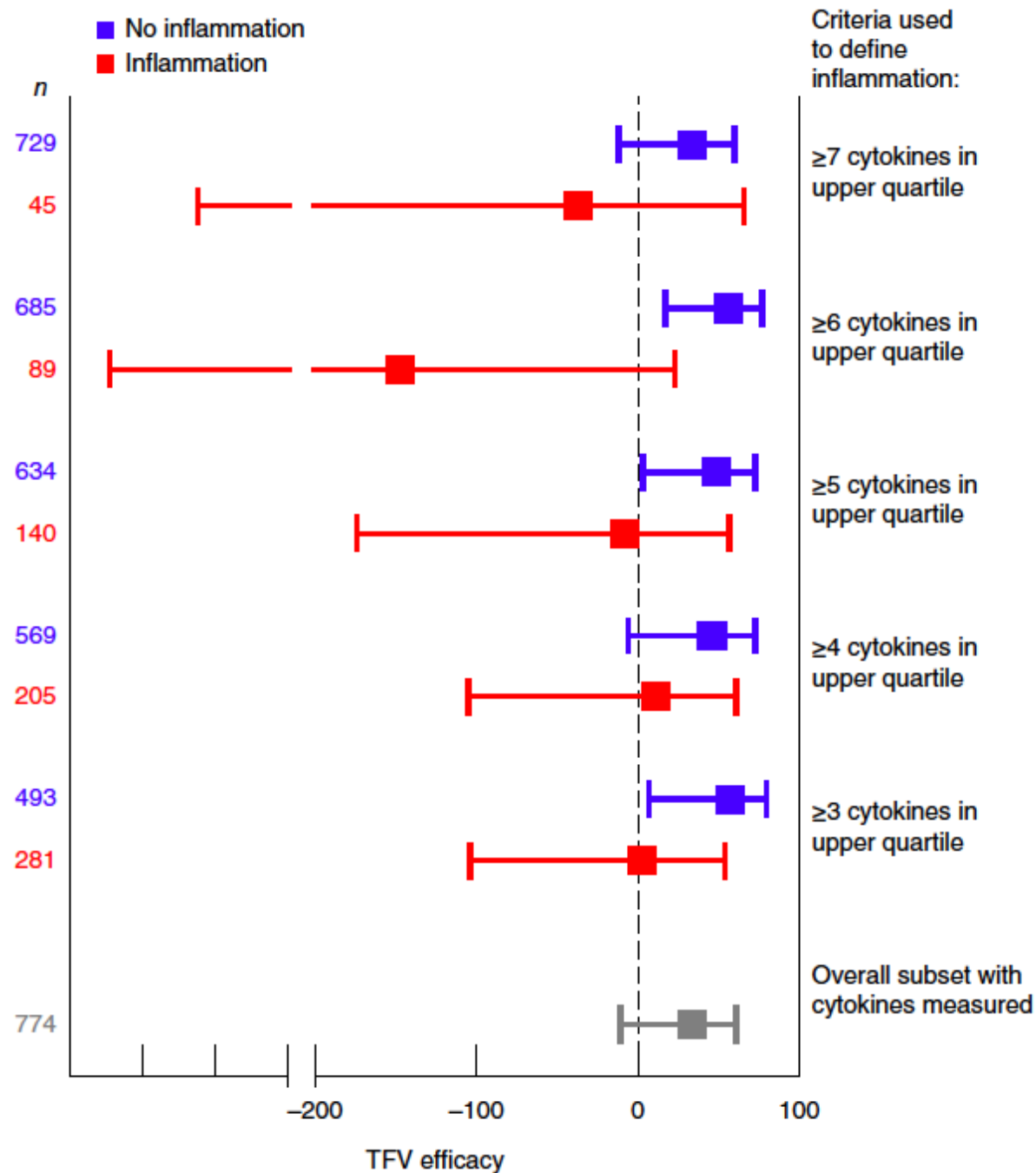
## Effectiveness and Safety of Tenofovir Gel, an Antiretroviral Microbicide, for the Prevention of HIV Infection in Women

Quarraisha Abdool Karim,<sup>1,2\*</sup>† Salim S. Abdool Karim,<sup>1,2,3\*</sup> Janet A. Frohlich,<sup>1</sup> Anneke C. Grobler,<sup>1</sup> Cheryl Baxter,<sup>1</sup> Leila E. Mansoor,<sup>1</sup> Ayesha B. M. Kharsany,<sup>1</sup> Sengeziwe Sibeko,<sup>1</sup> Koleka P. Mlisana,<sup>1</sup> Zaheen Omar,<sup>1</sup> Tanuja N. Gengiah,<sup>1</sup> Silvia Maarschalk,<sup>1</sup> Natasha Arulappan,<sup>1</sup> Mukelisiwe Mlotshwa,<sup>1</sup> Lynn Morris,<sup>4</sup> Douglas Taylor,<sup>5</sup> on behalf of the CAPRISA 004 Trial Group‡

3 SEPTEMBER 2010 VOL 329 SCIENCE

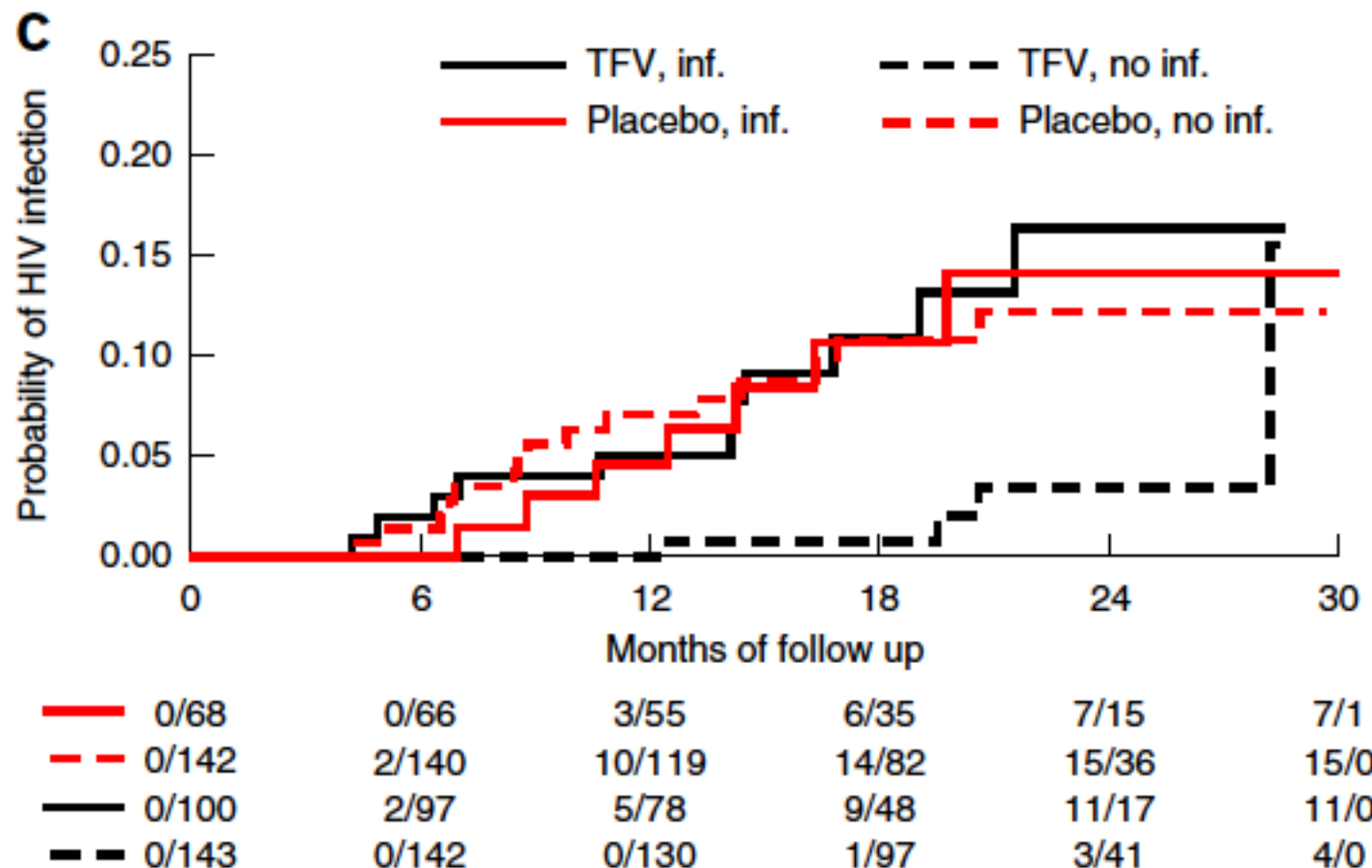


Months of follow-up	6	12	18	24	30
Cumulative HIV endpoints	37	65	88	97	98
Cumulative women-years	432	833	1143	1305	1341
HIV incidence rates (Tenofovir vs Placebo)	6.0 vs 11.2	5.2 vs 10.5	5.3 vs 10.2	5.6 vs 10.2	5.6 vs 9.1
Effectiveness (P-value)	47% (0.064)	50% (0.007)	47% (0.004)	40% (0.013)	39% (0.017)



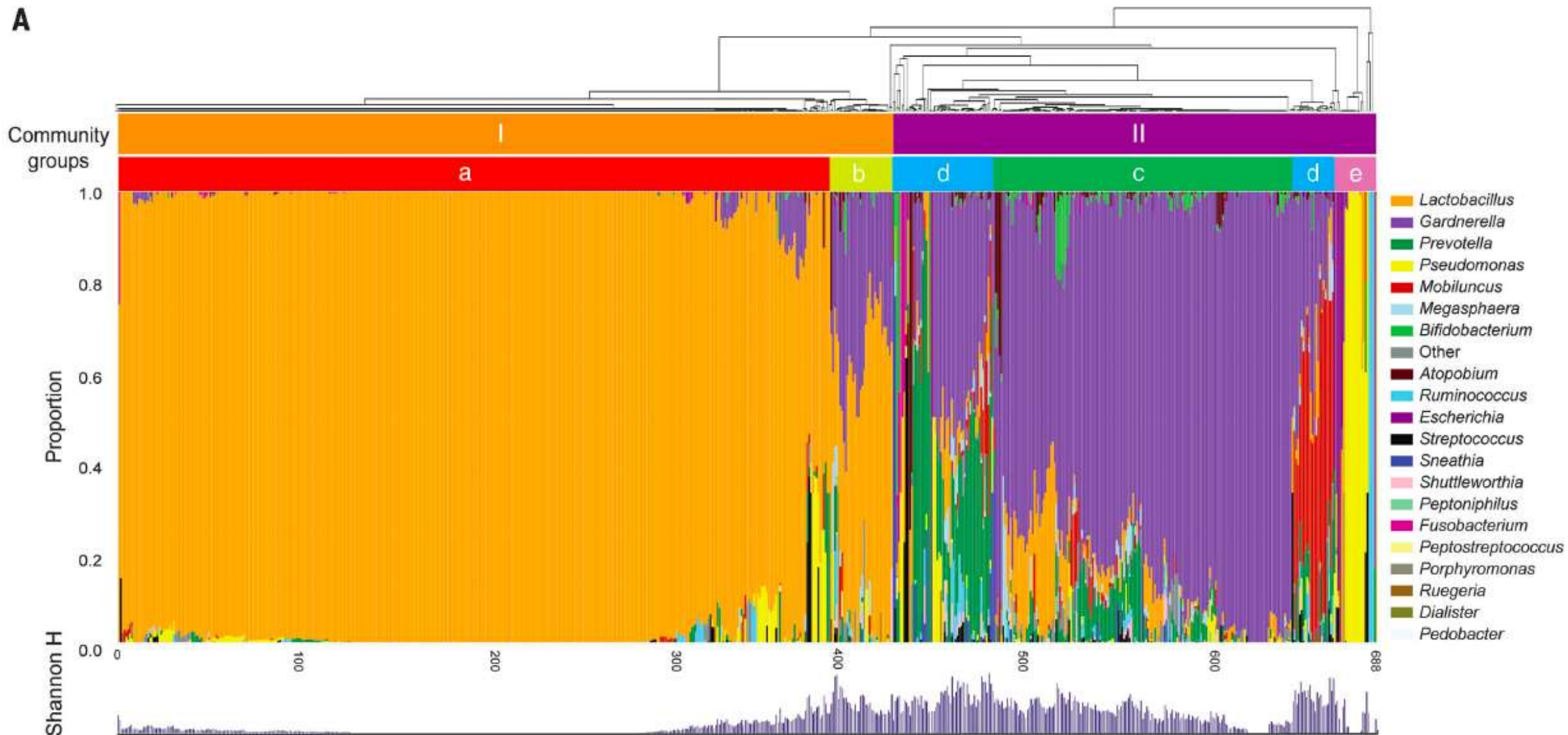
Post-hoc prospective analysis of CAPRISA-004 women (n= 774)

FGT inflammation predicts TFV gel efficacy in PrEP

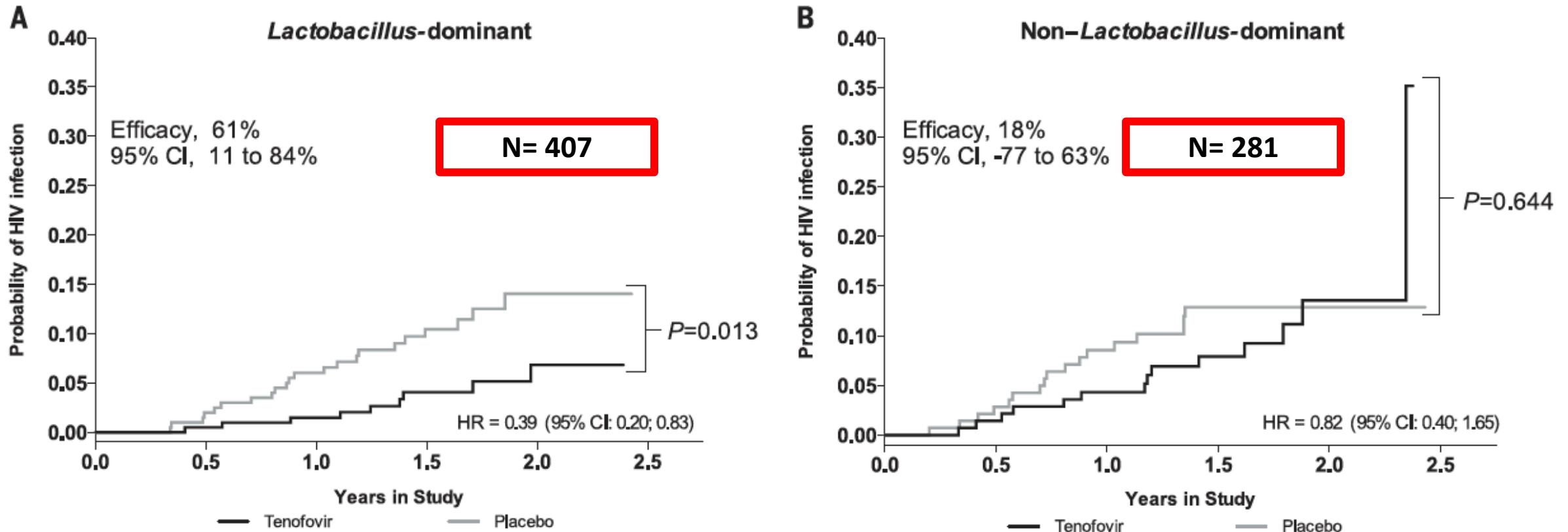


Maximal efficacy in  
TFV-receiving women  
with no FGT  
inflammation

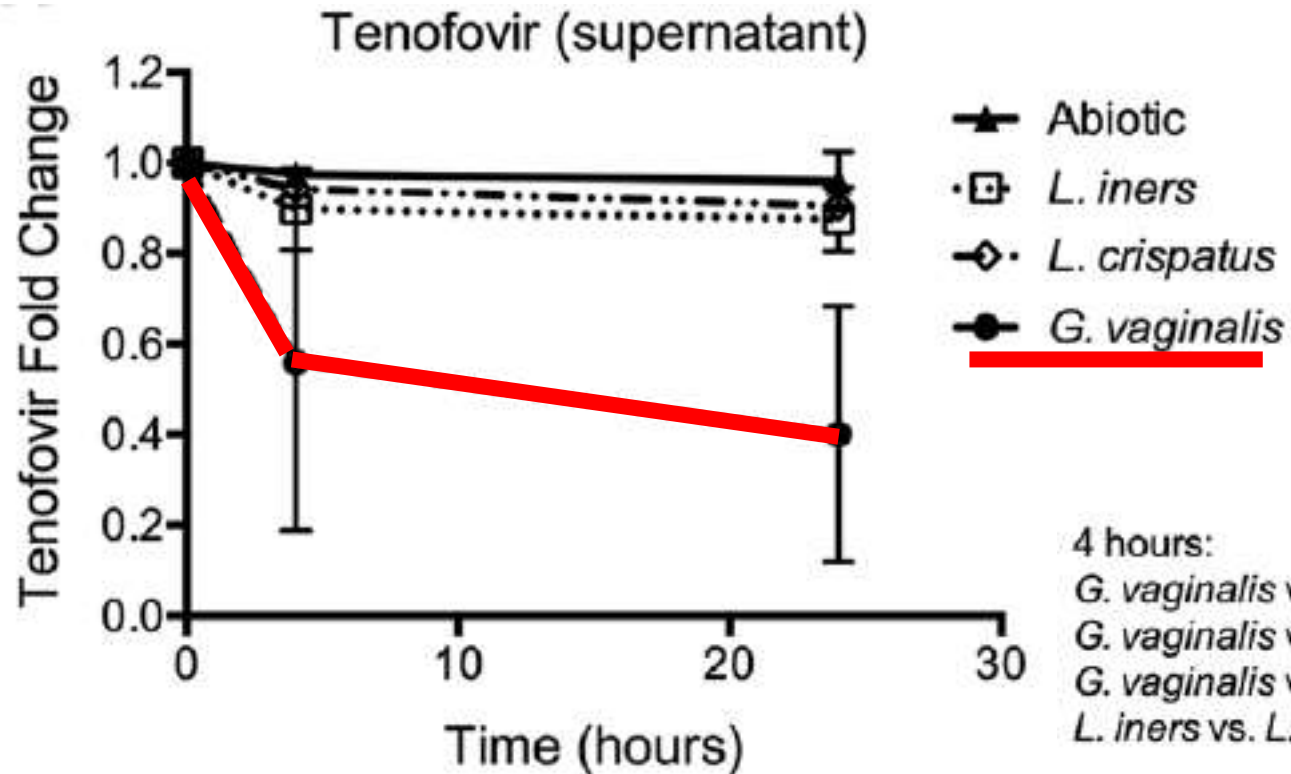
688 women from  
CAPRISA-004



# Vaginal microbioma dramatically influences PreP efficacy



Bacterial vaginosis-associated bacteria rapidly metabolize tenofovir, reducing extracellular drug availability

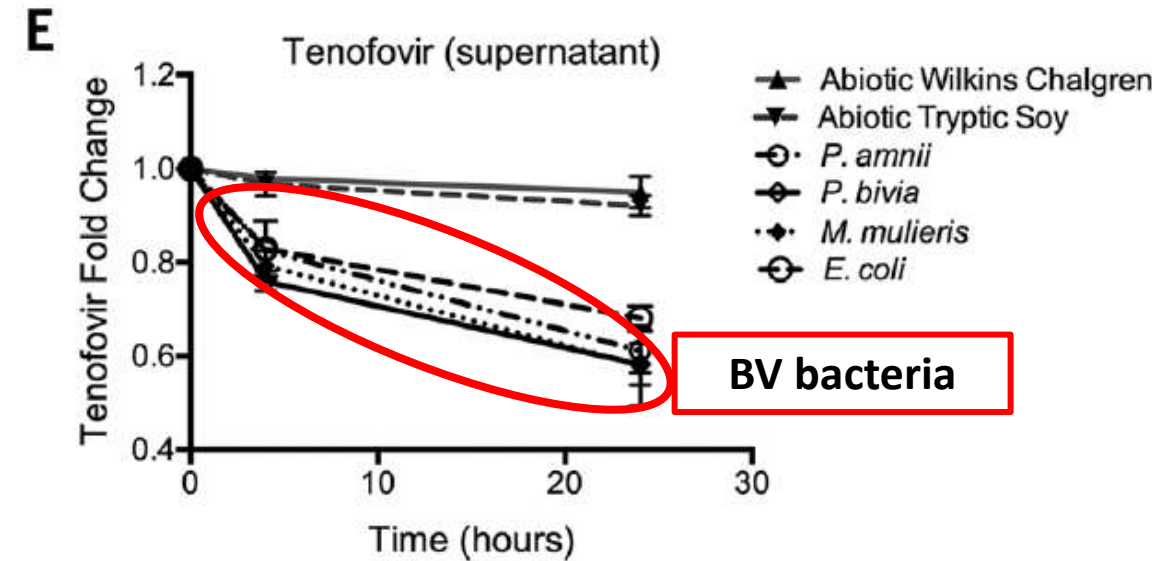


4 hours:

- G. vaginalis* vs. Abiotic:  $P < 0.0001$
- G. vaginalis* vs. *L. iners*:  $P = 0.0037$
- G. vaginalis* vs. *L. crispatus*:  $P = 0.0019$
- L. iners* vs. *L. crispatus*:  $P = \text{ns}$

24 hours:

- G. vaginalis* vs. Abiotic:  $P < 0.0001$
- G. vaginalis* vs. *L. iners*:  $P < 0.0001$
- G. vaginalis* vs. *L. crispatus*:  $P < 0.0001$
- L. iners* vs. *L. crispatus*:  $P = \text{ns}$



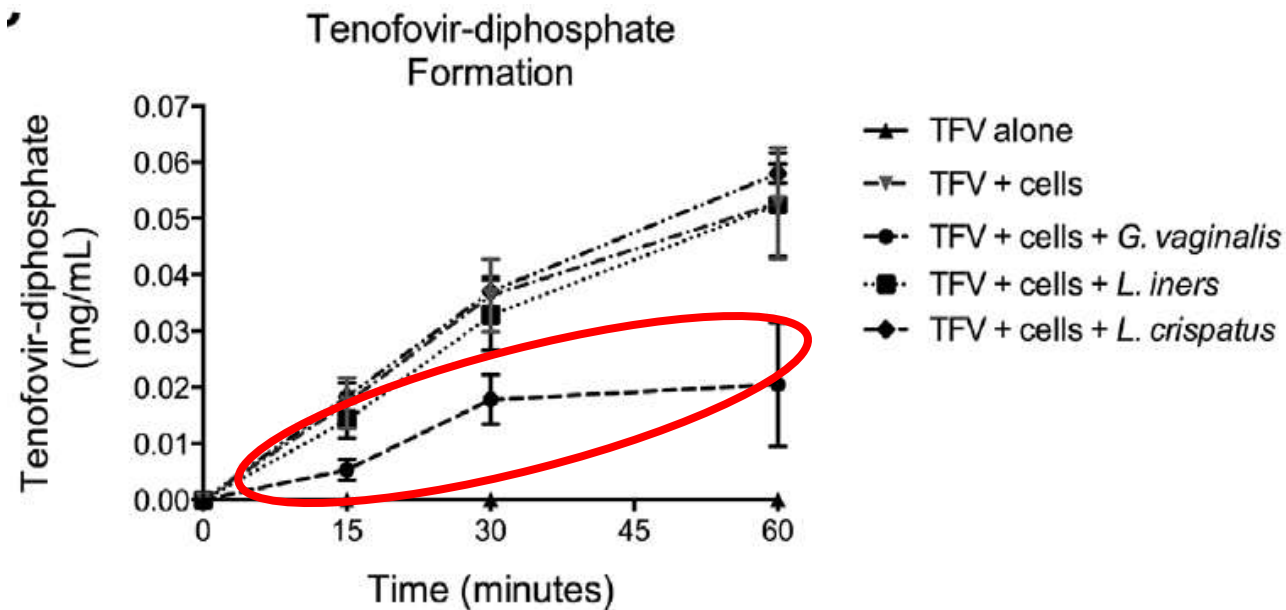
24 hours:

- P. amnii* vs. Abiotic (WC):  $P = 0.0007$
- P. bivia* vs. Abiotic (WC):  $P = 0.0007$
- M. mulieris* vs. Abiotic (WC):  $P = 0.0007$
- E. coli* vs. Abiotic (TS):  $P = 0.1000$

Klatt et al. Science 2017



Gardnerella decreases pharmacologically-active TDF by metabolizing tenofovir before cell uptake, in turn affecting gel adherence estimates based on vaginal drug levels [Kashuba et al. JAIDS 2015]



60 minutes:

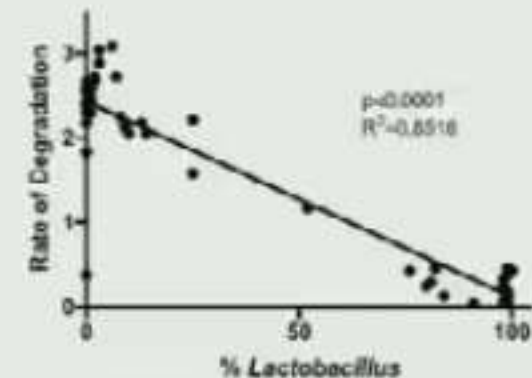
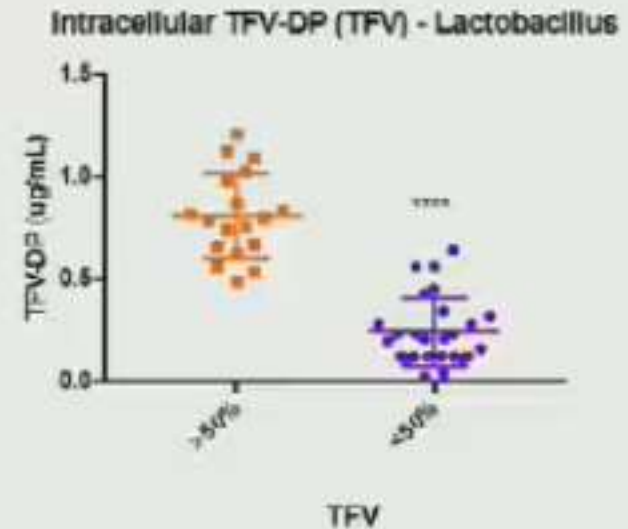
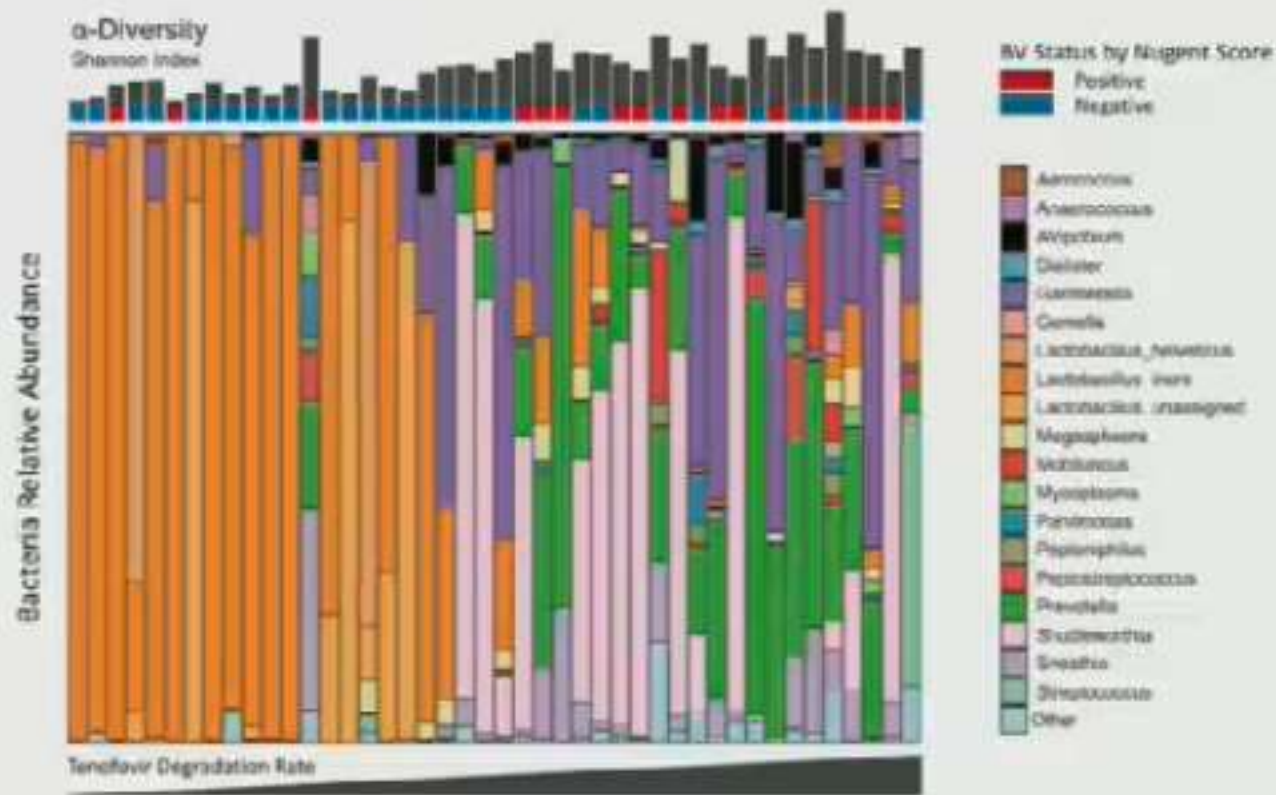
*G. vaginalis* vs. TFV + cells:  $P=0.0002$

*G. vaginalis* vs. *L. iners*:  $P=0.0022$

*G. vaginalis* vs. *L. crispatus*:  $P=0.0238$

*Lactobacillus* spp. vs. TFV/cells:  $P=ns$

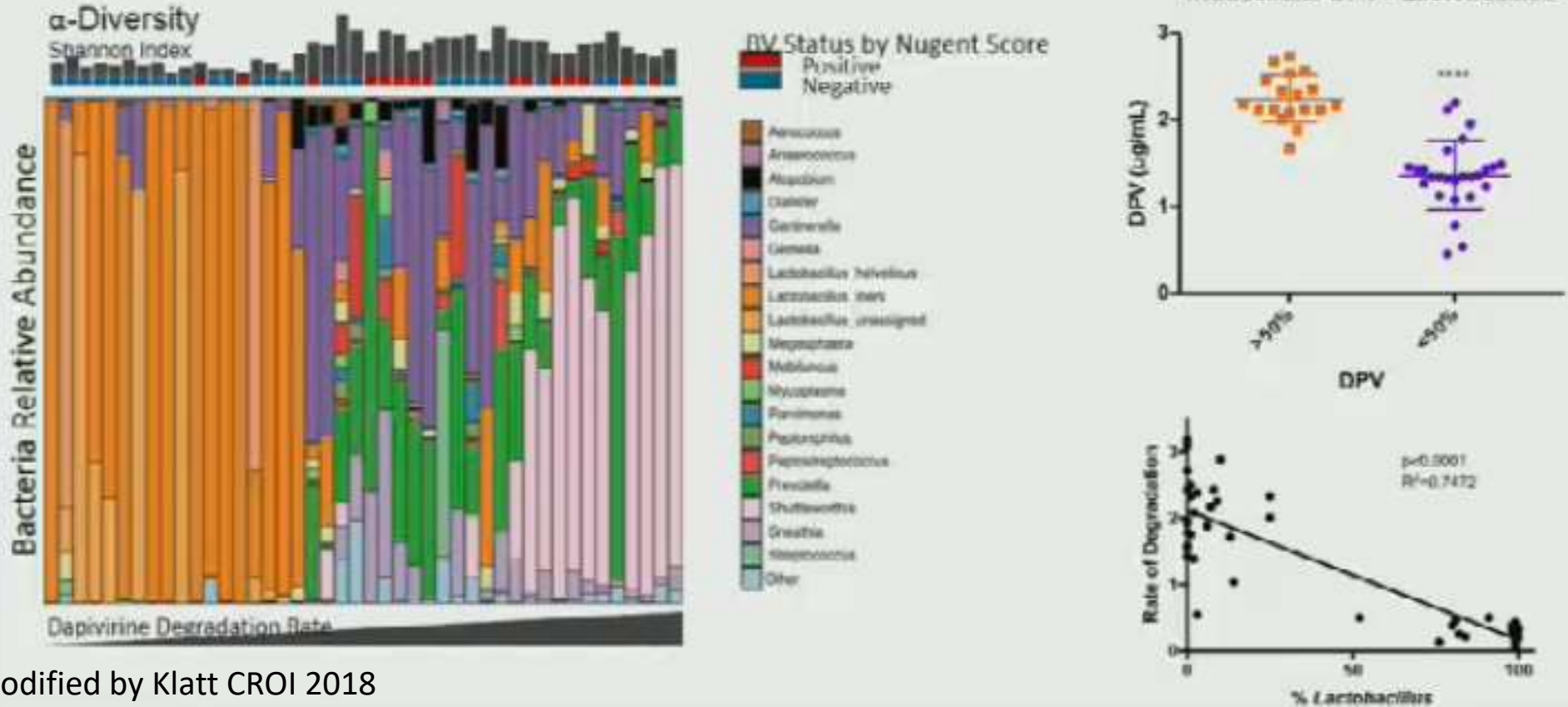
## Dysbiotic bacteria metabolize Tenofovir (TFV)



Modified by Klatt CROI 2018

Potential reduction of TFV-based PreP efficacy in vivo!

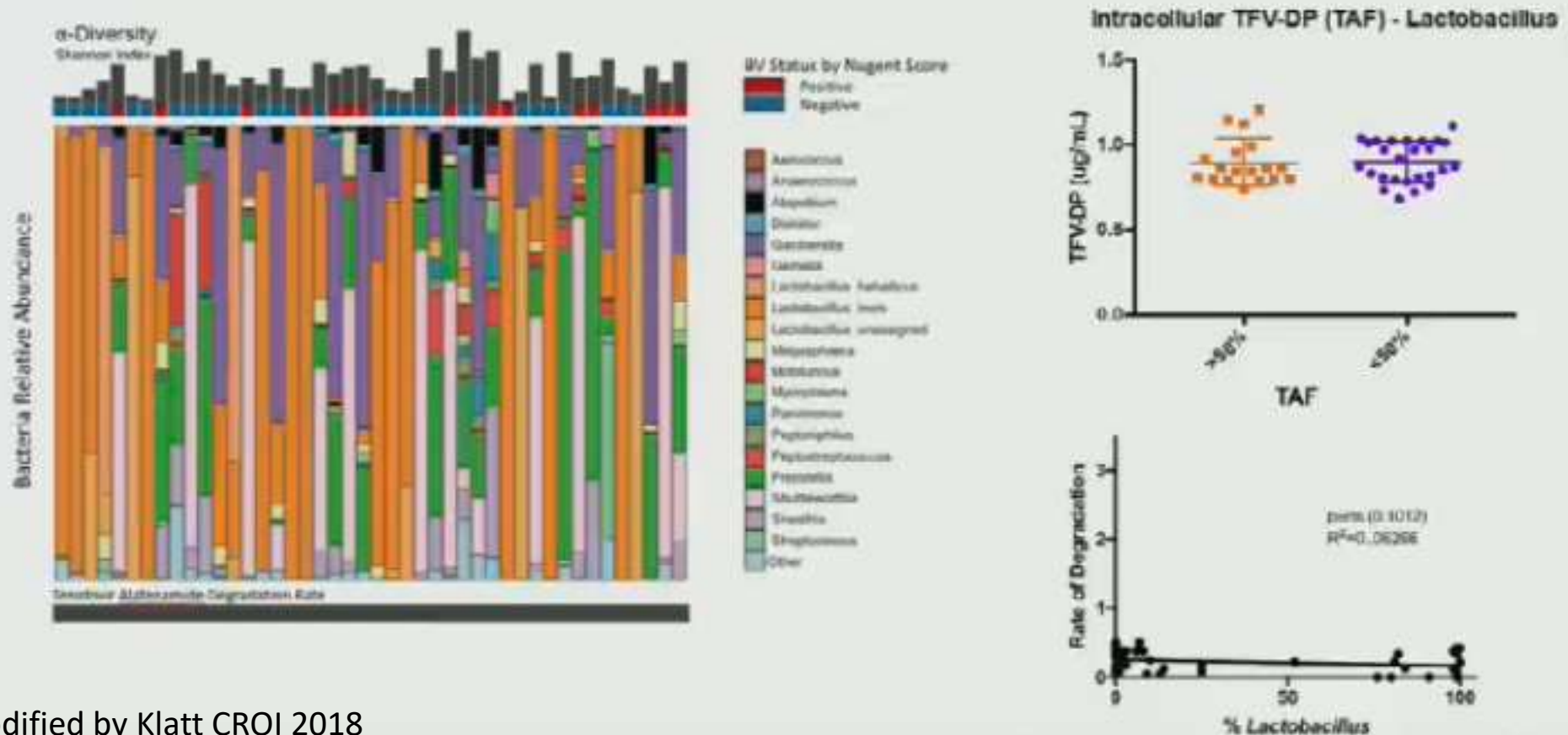
## Dysbiotic bacteria metabolize Dapivirine (DPV)



Modified by Klatt CROI 2018

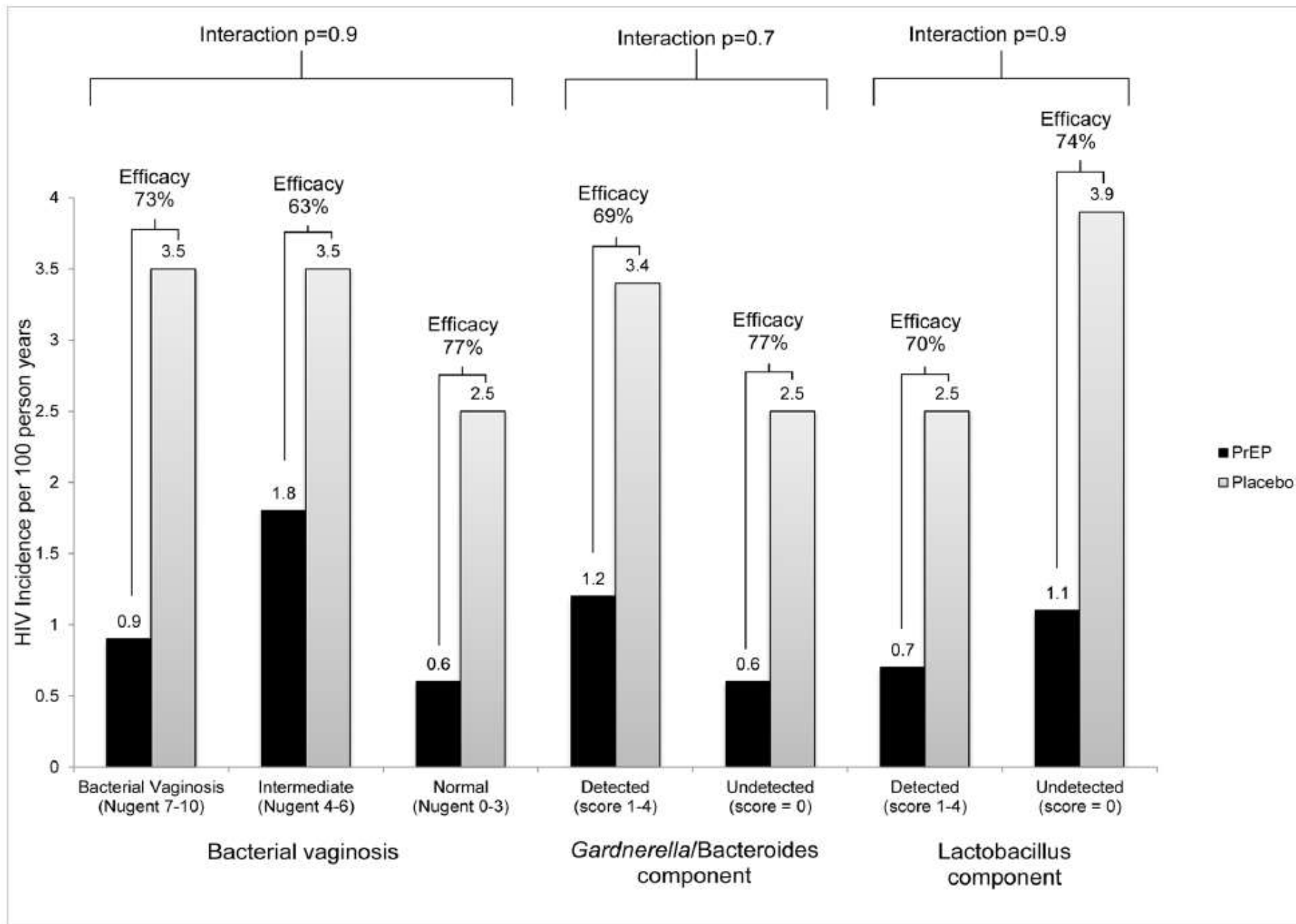
# Potential reduction of DPV-based PreP efficacy in vivo!

Bacteria do not metabolize Tenofovir Alafenamide (TAF)



Modified by Klatt CROI 2018

## Higher efficacy for TAF-based PreP ?



1470 women in  
Partners PrEP  
study

No influence  
of vaginal  
dysbiosis of  
oral PrEP  
effectiveness



Pro-inflammatory  
challenge

Inflammation/immune activation

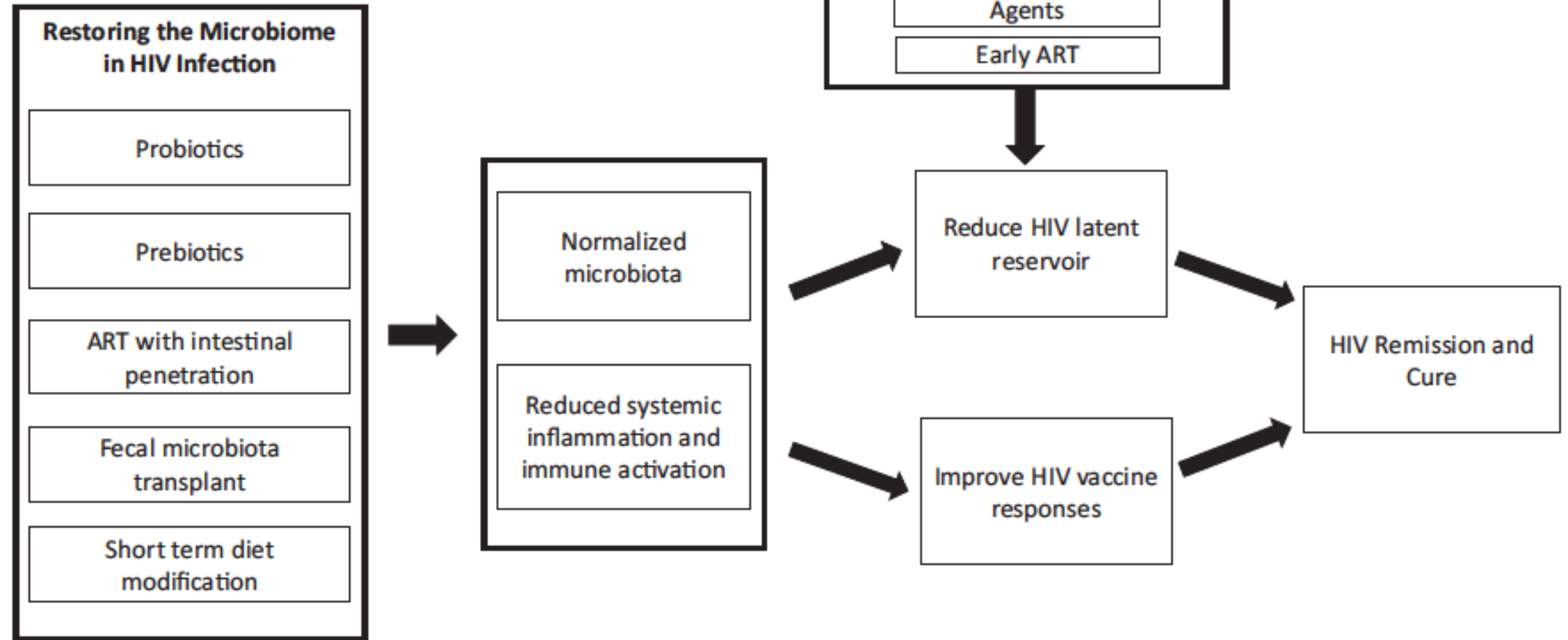
- Dysbiosis
- Residual HIV replication
- Co-infections
- Gut damage, microbial translocation
- Lymphoid fibrosis
- .....many more (?)

HIV acquisition

Disease progression (with  
and without cART)

Immune reconstitution to  
cART

# Targeting the microbiome for HIV cure?



**Department of Health Sciences -Clinic of Infectious Diseases-San Paolo Hospital - University of Milan, Italy**

- Camilla Tincati
- Federica Gelpi
- Valeria Bono
- Antonella d'Arminio Monforte

**Department of Clinical and Experimental Sciences - Nocivelli Institute of Molecular Medicine - Clinic of Paeditrics-Spedali Civili- University of Brescia, Italy**

- Mauro Giacomelli
- Daniele Moratto
- Raffaele Badolato

**University of Colorado Anschutz Medical Center, Denver, USA**

- Brent Palmer
- Catherine A. Lozupone

**San Paolo and San Carlo Hospitals - "Covid-19" wards- Milan, Italy**

- All Staff
- Patients and their families



**Funding**

- Fondazione Cariplo/Fondazione Umberto Veronesi/Regione Lombardia
- Ricerca Finalizzata- Ministero della Salute
- Gilead Fellowship Program